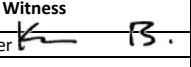


Documents in Evidence Without Objections

ORDERED.  
Post to docket. The Court understands that the parties agree that these are the final two merged lists of accepted exhibits.

PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0001	DX381	CLC0000142	CLC0000174	1/1/2002	NYU SOM Plan Document*			4/16/2018	Buetow, Wagner 
PX0002	DX016	CLC0000285	CLC0000318	May, 2008	May 2008 Cammack Larhette Consulting Defined Contribution Retirement Plan Investment Consulting Services Proposal*			4/16/2018	Woodruff, Chittenden
PX0003	DX394	CLC0000319	CLC0000329	4/16/2008	2009 RFP*			4/16/2018	Meagher
PX0004	DX412	CLC0000330	CLC0000375	6/4/2008	Cammack DC Plan Consulting Services Presentation*			4/16/2018	Wagner
PX0005		CLC0000425	CLC0000427	3/17/2017	Letter from TIAA to NYU re: TIAA as recordkeeper for Plans			4/16/2018	Petti
PX0007		CLC0000649	CLC0000653	2/23/2017	Cammack RFP Follow up Analysis			4/16/2018	
PX0008		CLC0000738	CLC0000754	1/1/2016	RK RFP Anlysis Details Draft			4/16/2018	
PX0009	DX409	CLC0000755	CLC0000769	2/1/2017	Cammack Defined Contribution Program: Request for Proposal - Executive Analysis*			4/16/2018	Geist, Meagher
PX0012	DX411	CLC0001072	CLC0001167	May, 2008	CLC Response to IC Services Proposal*			4/16/2018	Rezler, Geist, Wagner
PX0013	DX422	CLC0001276	CLC0001348	6/4/2008	CLC Finalist Presentation*			4/16/2018	Geist
PX0034	DX457	CLC0007602	CLC0007751	3/31/2010	Cammack Due Diligence Report			4/16/2018	Meagher, Geist, Rezler, Fischel
PX0036	DX464	CLC0010158	CLC0010380	9/30/2010	Cammack Due Diligence Report*			4/16/2018	Meagher, Geist
PX0040	DX571	NYU0094959	NYU0094962	2/21/2012	2012_02_21 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez
PX0043	DX481	NYU0031401	NYU0031522	3/31/2012	Cammack Due Diligence Report			4/16/2018	Meagher, Geist
PX0044	DX483	CLC0014503	CLC0014635	6/30/2012	Cammack Due Diligence Report*			4/16/2018	Meagher, Geist
PX0045		CLC0014761	CLC0014891	9/4/2012	NYU Retirement Committee Meeting Materials, including Abridged 6-30-2012 Due Diligence Report			4/17/2018	Sanchez
PX0047		CLC0016219	CLC0016300	12/31/2013	Cammack Fiduciary Due Diligence Report			4/16/2018	Geist
PX0049	DX580	NYU0002865	NYU0002867	8/19/2014	2014_08_19 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti
PX0050		CLC0018329	CLC0018330	9/25/2009	Fidelity Ltr to Abban re Response to NYU Retirement Programs RFP			4/16/2018	
PX0051		CLC0018331	CLC0018338	9/25/2009	Fee Disclosure Documents			4/16/2018	
PX0052		CLC0018339	CLC0018369	9/30/2009	Monitoring Report			4/16/2018	
PX0054		CLC0018372	CL00018386	9/25/2009	Fidelity Fee Schedule			4/16/2018	
PX0056		CLC0018555	CLC0018558	9/24/2009	FAScore Recordkeeping Services Ltr to Rezler			4/16/2018	
PX0057		CLC0018563	CLC0018593	9/30/2009	Monitoring Report			4/16/2018	
PX0058		CLC0018594	CLC0018594	9/30/2009	NYU RFP GreatWest			4/16/2018	
PX0059		CLC0018595	CLC0018595	9/30/2009	TIAA Cref RFP			4/16/2018	
PX0060		CLC0018596	CLC0018626	9/30/2009	Monitoring Report			4/16/2018	
PX0065	DX397	CLC0019278	CLC0019329	9/25/2009	2009 Vanguard's Response to RFP*			4/16/2018, 4/23/2018	Rezler, Heming, Wagner
PX0068	DX766	CLC0019333	CLC0019333	9/25/2009	Fee Proposal: Vanguard's All-In-Fee Disclosure for the NYU / NYUSOM / NYUHC Plans*			4/16/2018	Heming
PX0069		CLC0019334	CLC0019334	9/30/2009	Vanguard RFP				
PX0070		CLC0019336	CLC0019337	9/25/2009	Vanguard Ltr to Rezler re RFP				
PX0071		CLC0019365	CLC0019365	5/20/2016	NYU Bi-Weekly Project Status Meeting Notes			4/18/2018	Petti
PX0077		CLC0021056	CLC0021056	3/26/2009	RE: TIAA PROPOSAL				
PX0095									
PX0096	DX430	CLC0021496	CLC0021643	5/15/2009	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Sanchez, Geist, Meagher
PX0101		CLC0021888	CLC0021888	6/22/2009	Email from J. Levy at Cammack to M. Meagher regarding RFP Pricing				
PX0108		CLC0021934	CLC0021934	7/6/2009	Vendor Outline				
PX0109		CLC0021946	CLC0021950	7/7/2009	RE: 403(b) Plan RFP				
PX0114	DX018	NYU0096711	NYU0096720	4/8/2009	April 8, 2009 Cammack Larhette Advisors, LLC Investment Advisory Services Agreement *			4/16/2018	Meagher, Sanchez
PX0119	DX020	CLC0022136	CLC0022157	06/31/2009	New York University, New York University School of Medicine, NYU Hospitals Center, Polytechnic Institute of NYU Defined Contribution Retirement Programs Request for Proposal, July 31, 2009 *			4/16/2018	Rezler, Sanchez
PX0128		CLC0022348	CLC0022371	9/3/2009	Cammack DC RFP			4/16/2018	Geist, Meagher, Chittenden
PX0130		CLC0022448	CLC0022448	2/28/2009	Investment Breakdown - NYU, NYU Langone, & NYU Polytech Retirement Plkan Breakdown			4/16/2018	Geist
PX0133									
PX0134	DX398	CLC0022519	CLC0022545	10/28/2009	Cammack Analysis of RFP Results, with cover e-mail			4/16/2018	Meagher; Geist, Rezler

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Documents in Evidence Without Objections

PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0143		CLC0022650	CLC0022653	12/2/2009	Re: Finalist meetings				Geist
PX0144									
PX0146					E-mail from Jeffrey Levy to Margaret Meagher attaching 2009 Fidelity Investments Response to RFP and TIAA-CREF Response to RFP*			4/23/2018	Rezler, Wagner
PX0153	DX395	CLC0022810	CLC0023271	12/16/2009					
PX0147					E-mail dated January 29, 2010 from Jan Rezler to Nancy Sanchez, Margaret Meagher and Linda Woodruff attaching list of follow-up questions			4/16/2018	Meagher
PX0150	DX401	CLC0023345	CLC0023347	1/29/2010					
PX0149		CLC0022785	CLC0022793	12/16/2009	RE: Participant Account Balance Report				Geist
PX0153	DX396	CLC0018650	CLC0019051	9/25/2009	2009 TIAA Response to RFP*				Wagner
		NYU0000244	NYU0000257						
		NYU0023827	NYU000023828						
		NYU0000274	NYU0000275						
		NYU0000241	NYU0000243						
		CLC0004698	CLC0004703						
		CLC0004669	CLC0004671						
		TIAA_NYU_00015477	TIAA_NYU_00015487						
PX0157		NYU0000276	NYU0000276	3/10/2008	2008 Faculty TIAA Recordkeeping Services Agreement with Amendments and Addendums			4/16/2018	Geist
PX0158		CLC0020108	CLC0020248	11/16/2016	Voya's Response - NYU Request for Proposal .msg			4/16/2018	
PX0166		NYU0000262	NYU0000272	1/1/2012	January 1, 2012 Vanguard Recordkeeping Fee Agreement for the Faculty Plan			4/16/2018	
PX0171		CLC0023502	CLC0023503	3/12/2010	Email - Due Diligence Proposal				
PX0172		CLC0023504	CLC0023511	3/12/2010	Attachment to CLC0023502: NYU_Retirement Plan Due Diligence Consulting Services Proposal			4/16/2018	
PX0173					March 17, 2010 e-mail re: Retirement Committee Meeting, Thursday March 18, 2010 including attachments			4/17/2018	Sanchez
PX0174	DX431	CLC0023521	CLC0023539	3/17/2010					
PX0179		CLC0023649	CLC0023649	4/5/2010	Email from J. Rezler to N. Sanchez re Clarifications from March 18 Meeting and Top Reasons to Consolidate Vendors			4/16/2018	Woodruff
PX0181		CLC0023651	CLC0023652	4/19/2010	Advantages of Consolidating an ERISA 403(b) Program into a Single Investment Provider Arrangment			4/16/2018	Woodruff
PX0182		CLC0023653	CLC0023653	4/19/2010	NYU, NYU Langon, and NYU Polytechnic 403(B) and 457(B) Plans RFP and Due Diligence Process			4/16/2018	
PX0185		CLC0023676	CLC0023680	3/18/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Geist; Meagher
PX0191	DX522	NYU0001803	NYU0001812	May, 2009	2009 Retirement Committee Charter*				Wagner
PX0192		CLC0023943	CLC0023947	6/17/2010	RE: [Fwd: [Fwd: New restrictions on mutual fund transactions for non-U.S. resident participants in your retirement plan(s)]]				Geist
PX0193		CLC0023956	CLC0023956	6/24/2010	Email - NYU Foreign Contract Issue				Geist
PX0215		CLC0024632	CLC0024633	11/2/2010	Rezler email to Woodruff re Refresh my memory (vanguard funds)			4/16/2018	Woodruff
PX0216		CLC0024824	CLC0024829	11/9/2010	RE: TIAA-CREF Pricing Follow-Up for NYU				
PX0232	DX466	CLC0024998	CLC0025005	December, 2010	Retirement Plan Vendor Consolidation*			4/16/2018	CDDM Binder, Wagner
PX0235		CLC0025032	CLC0025039	12/13/2010	Attachment to CLC0025029: NYU Vendor Consolidation Overview For Core Leadership_December 2010_v2			4/16/2018	Meagher, Geist
PX0246	DX469	CLC0025186	CLC0025193	1/1/2011	Retirement Plan Vendor Consolidation*			4/17/2018	Meagher, Wagner
PX0247		CLC0025203	CLC0025206	1/7/2011	Meagher email to Woodruff re NYU Ret Comm Mtg Agenda			4/16/2018	Woodruff
PX0249		CLC0025242	CLC0025246	1/7/2011	Email from M. Meagher to J. Rezler regarding full review twice a year			4/19/2018	Hollensteiner
PX0251		CLC0025309	CLC0025309	3/21/2011	NYU TIAA-CREF Pricing Proposal				
PX0252		CLC0025310	CLC0025318	1/1/2011	NYU and NYULMC Retirement Plan Vendor Consolidation				
PX0274		CLC0025545	CLC0025546	2/24/2011	Email - Vendor Consolidation				Buetow
PX0275		CLC0025547	CLC0025547	2/24/2011	Attachment to CLC0025545: NYU Vanguard Assets and Fund Usage				Buetow
PX0321					June 17, 2011 e-mail re: June 9 Retirement Committee Materials, attaching the approved working draft of the Investment Policy Statement for the Committee [entered by Plaintiffs as an Exhibit in the deposition of Woodruff]			4/16/2018	Meagher, Sanchez; Woodruff
PX0322	DX036	CLC0027134	CLC0027142	6/17/2011					
PX0354		CLC0032607	CLC0032610	2/17/2012	Letter re: Adding new Institutional Share Class to the plans			4/16/2018	

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PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0363	DX029	CLC0036515	CLC0036521	2/4/2013	February 4, 2013 e-mail re: Explanation of the Return of Excess Plan Expenses with attachments*			4/16/2018	Petti
PX0365		CLC0037908	CLC0037913	6/18/2013	Tocci email to Rezler re NYU Washington Square Shareclass change			4/16/2018	Heming
PX0368	DX576	NYU0002391	NYU0002394	6/14/2013	2013_06_14 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti, Geist
PX0369		CLC0038985	CLC0038987	4/19/2010	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes			4/17/2018	Meagher, Buetow
PX0370		CLC0038988	CLC0038990	4/1/2011	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes			4/17/2018	Geist; Meagher
PX0371		CLC0038991	CLC0038994	8/15/2011	Retirement Plan Committee Meeting Minutes			4/16/2018	Geist
PX0372		CLC0038995	CLC0038998	2/22/2013	Attachment to CLC0038976: NYU Retirement Plan Committee Meeting Minutes				Geist
PX0373		CLC0038999	CLC0039002	1/10/2011	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Geist
PX0374		CLC0039003	CLC0039005	6/14/2010	Retirement Plan Committee Meeting Minutes			4/17/2018	Meagher
PX0375	DX568	NYU0004053	NYU0004054	6/9/2011	2011_06_09 NYU Retirement Committee Minutes			4/16/2018	Meagher, Rezler
PX0376		CLC0039008	CLC0039011	3/21/2011	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Geist
PX0378		CLC0039016	CLC0039018	11/2/2010	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Buetow
PX0380	DX574	CLC0039022	CLC0039024	11/16/2012	Retirement Plan Committee Meeting Minutes			4/16/2018	Surh, Sanchez, Petti, Meagher, Buetow
PX0381		CLC0039025	CLC0039027	9/23/2010	Attachment to CLC0038976: NYU Retirement Committee Meeting Minutes				Buetow
PX0382	DX573	NYU0004050	NYU0004052	9/4/2012	2012_09_04 NYU Retirement Committee Minutes			4/16/2018	Meagher, Sanchez, Petti
PX0388	DX498	CLC0041784	CLC0041859	6/30/2014	NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX0389	DX499	NYU0031099	NYU0031160	6/30/2014	Supplemental Investment Information			4/16/2018	Meagher
PX0397		CLC0049053	CLC0049054	10/27/2015	Attachment to CLC0049051: Memo Cammack to NYU HR Dept Re: NYU Cost Savings Under TIAA-CREF				Buetow
PX0404		CLC0049951	CLC0049963	4/8/2009	Attachment to CLC0049936: Cammack Investment Advisory Services Agreement			4/16/2018	Geist, Dorph
PX0433	DX512	NYU0163130	NYU0163204	2/27/2017	Cammack Supplemental Investment Information			4/16/2018	Meagher
PX0436		CLC0061438	CLC0061440	12/12/2016	Attachment to CLC0061247: NYU/NYU Langone Retirement Committee Q3 2016 Due Diligence Meeting Minutes			4/16/2018	
PX0447		CLC0065106	CLC0065106	7/11/2017	Email Petti to Rezler Re: Important notice about Columbia U Retirement program			4/18/2018	Petti
PX0448		CLC0065107	CLC0065108	7/11/2017	Attachment to CLC0065106: Important notice about Columbia U Retirement program			4/18/2018	Petti
PX0451		CLC0065161	CLC0065166	6/30/2016	Attachment to CLC0065157: TIAA and CREF contract comparison			4/18/2018	Petti
PX0456	DX142	NYU0000277	NYU0000309	4/30/2015	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0457	DX138	NYU0000385	NYU0000407	4/30/2012	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Meagher, Petti, Wagner
PX0458	DX577	NYU0002092	NYU0002094	11/25/2013	2013_11_25 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Petti, Surh
PX0460	DX566	NYU0002099	NYU0002102	3/21/2011	2011_03_21 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti, Hollensteiner; Woodruff, Surh
PX0461	DX579	NYU0002384	NYU0002386	5/22/2014	2014_05_22 NYU Retirement Committee Minutes			4/18/2018	Surh, Sanchez, Petti, Meagher
PX0462	DX551	NYU0002387	NYU0002387	9/13/2007	2007_09_13 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Hollensteiner
PX0463	DX563	NYU0002388	NYU0002390	9/23/2010	2010_09_23 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez, Hollensteiner
PX0466	DX523	NYU0002543	NYU0002547	9/28/2012	2012 NYU, NYU School of Medicine, and NYU Hospitals Center Retirement Plan Committee Charter*			4/16/2018	Meagher, Wagner
PX0467	DX575	NYU0002557	NYU0002560	2/22/2013	2013_02_22 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti, Surh
PX0468	DX581	NYU0002714	NYU0002715	10/3/2014	2014_10_03 NYU Ad Hoc Defined Benefit Minutes			4/18/2018	Surh, Sanchez
PX0469	DX582	NYU0002779	NYU0002782	12/11/2014	2014_12_11 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti
PX0470	DX557	NYU0002885	NYU0002886	12/9/2009	2009_12_09 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Hollensteiner
PX0471	DX588	NYU0002889	NYU0002891	6/1/2016	2016_06_01 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0472	DX570	NYU0003083	NYU0003085	11/14/2011	2011_11_14 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Surh, Rezler
PX0473	DX555	NYU0003086	NYU0003089	5/15/2009	2009_05_15 Due Diligence Meeting Notes*			4/16/2018	Meagher, Geist, Sanchez
PX0474	DX586	NYU0003275	NYU0003278	12/16/2015	2015_12_16 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Halley, Petti; Heming
PX0476	DX553	NYU0003671	NYU0003673	10/8/2008	2008_10_08 Defined Contribution Investment Consulting Services Meeting Notes*			4/16/2018	Meagher, Geist, Sanchez
PX0477	DX565	NYU0003674	NYU0003677	1/10/2011	2011_01_10 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez, Petti; Woodruff; Heming, Surh

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PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0478	DX552	NYU0003846	NYU0003848	1/31/2008	2008_01_31 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez
PX0479	DX583	NYU0003948	NYU0003950	2/26/2015	2015_02_26 NYU Retirement Committee Notes*			4/16/2018	Meagher, Sanchez, Petti
PX0480	DX561	NYU0004040	NYU0004042	4/19/2010	2010_04_19 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Geist, Sanchez; Woodruff
PX0481	DX567	NYU0004047	NYU0004049	4/1/2011	2011_04_01 NYU Retirement Committee Minutes*			4/16/2018	Petti, Sanchez, Meagher; Woodruff, Surh
PX0482	DX572	NYU0004055	NYU0004058	5/17/2012	2012_05_17 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Petti, Surh
PX0483		NYU0004345	NYU0004365	6/14/2010	Cammack Supplemental Fiduciary Committee Information			4/18/2018	Geist, Buetow
PX0484	DX585	NYU0005069	NYU0005071	9/15/2015	2015_09_15 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0485	DX564	NYU0005074	NYU0005076	11/2/2010	2010_11_02 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Surh
PX0486		NYU0005498	NYU0005499	10/27/2015	NYU Cost Savings under TIAA-CREF			4/16/2018	Meagher, Geist
PX0487		NYU0085001	NYU0005476	2/1/2011	Retirement Plan Vendor Consolidation			4/19/2018	Hollensteiner; Woodruff
PX0490		NYU0005606	NYU0005631	9/15/2015	Retirement Choice Plus Annuity Contract: CE-403259			4/16/2018	
PX0494	DX558	NYU0006123		1/21/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Meagher, Sanchez, Buetow
PX0495	DX141	NYU0006519	NYU0006543	3/31/2014	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Wagner
PX0496	DX140	NYU0007105	NYU0007127	3/31/2013	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Wagner
PX0497	DX144	NYU0007185	NYU0007217	8/25/2016	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Meagher, Geist, Halley, Petti, Wagner
PX0499	DX476	NYU0007634	NYU0007690	6/30/2011	Fiduciary Due Diligence Report - Abridged Version*			4/16/2018	Meagher, Geist
PX0500	DX475	NYU0007827	NYU0007832	6/30/2011	Fiduciary Responsibility and Process Review*			4/16/2018	CDDM Binder
PX0504		NYU0009295	NYU0009302	1/1/2011	Attachment to NYU0009294: Cammack NYU Retirement Plan Vendor Consolidation			4/17/2018	Meagher, Geist, Rezler
PX0507		NYU0012664	NYU0012780	12/31/2011	Attachment to NYU0012656: NYU and NYU LMC Fiduciary Due Diligence Report			4/16/2018	Geist
PX0508	DX480	NYU0012571	NYU0012644	12/31/2011	Fiduciary Due Diligence Report - Abridged Version			4/16/2018	Meagher
PX0513		NYU0019355	NYU0019355	12/9/2009	NYU Washington Square Vanguard Fund Lineup in NYU 403(b) Plans compared to NYU Medical Center and School of Medicine				Buetow
PX0514	DX629	NYU0025904	NYU0025905	3/28/2011	E-mail from Linda Woodruff to Susanna Hollensteiner re: Agenda plus for Fri Retirement Committee Meeting*			4/16/2018	Woodruff
PX0518	DX525	NYU0026251	NYU0026255	1/1/2017	2017 NYU, NYU School of Medicine, NYU Hospitals Center Retirement Plan Committee Charter*			4/16/2018	Sanchez, Meagher, Wagner; Dorph
PX0519	DX590	NYU0026298	NYU0026300	12/12/2016	2016_12_12 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0520	DX589	NYU0026303	NYU0026306	9/8/2016	2016_09_08 NYU Retirement Committee Minutes*			4/16/2018	Meagher, Halley, Petti
PX0522		NYU0026645	NYU0026647	2/4/2009	Retirement Plan Committee Meeting Minutes			4/16/2018	Geist
PX0524		NYU0027180	NYU0027181	2/1/2017	Vanguard - NYU: Partnership Report			4/16/2018	
PX0526		NYU0028134	NYU0028157	10/28/2009	Cammack RFP Results			4/16/2018	Geist, Meagher, Chittenden
PX0529	DX491	NYU0030003	NYU0030057	6/30/2013	06.30.2013 Supplemental Investment Information*			4/16/2018	Meagher
PX0530	DX485	NYU0030499	NYU0030595	9/30/2012	Cammack Due Diligence Report*			4/16/2018	Meagher, Fischel, Geist
PX0531	DX482	NYU0030821	NYU0030899	3/31/2012	Fiduciary Due Diligence Report - Abridged Version*			4/16/2018	Meagher, Geist
PX0532		NYU0031773	NYU0031774	10/1/2015	Enhancements to NYU's Retirement Program (draft)			4/19/2018	Petti
PX0533	DX015	NYU0034912	NYU0034921	May, 2009	Retirement Plan Committee Charter *			4/16/2018	Meagher, Geist, Sanchez; Hollensteiner, Woodruff
PX0534	DX385	NYU0035643	NYU0035656	6/9/2011	Investment Policy Statement For The Defined Contribution Retirement Plans of NYU, NYU LMC, and NYU Polytechnic Institute - Approved working draft*			4/16/2018	Wagner
PX0535		NYU0035823	NYU0035833	11/2/2012	Letter to Plan Sponsors re: Consolidated Record Keeping Services			4/16/2018	
PX0536		NYU0038184	NYU0038187	9/7/2016	Letter to Plan Sponsors re: Amendment #1 to Statement of Work			4/16/2018	
PX0537		NYU0039392	NYU0039393	2/26/2015	Attachment to NYU0039390: Impact of TIAA-CREF Share Class Change on Excess Revenue				Geist
PX0538	DX496	NYU0039522	NYU0039580	3/31/2014	03.31.2014 Supplemental Investment Information*			4/16/2018	Meagher
PX0544	DX505	NYU0054120	NYU0054200	9/15/2015	NYU LMC and NYU Polytechnic Institute Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX0545		NYU0054201	NYU0054276	6/30/2015	NYU, NYU LMC, and NYU Polytechnic Supplemental Investment Information			4/26/2018	Fischel
PX0548		NYU0082382	NYU0082462	12/31/2014	NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Geist

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PX0549	DX503	NYU0030375	NYU0030431	12/31/2014	Supplemental Investment Information			4/16/2018	Meagher
PX0552		NYU0084671	NYU0084679	7/19/2016	Letter to Plan Sponsors re: changes to Retirement Choice annuity contracts			4/16/2018	
PX0553	DX559	NYU0084725	NYU0084728	2/18/2010	Retirement Plan Committee Meeting Minutes			4/16/2018	Meagher, Sanchez, Buetow
PX0554		NYU0085060	NYU0085064	10/9/2013	Resolution approving freeze of DC Retirement Plan and Incorporation of Plan-to-Plan Transfers Provisions from the Plan - Board of Trustees of Polytechnic Institute of NYU			4/16/2018	Meagher
PX0556		NYU0087933	NYU0087938	9/15/2018	Scope of IA RFP Project			4/16/2018	
PX0558		NYU0094082	NYU0094086	1/18/2014	NYU School of Medicine and NYU Hospitals Center Retirement Plan Committee Charter (Amended)			4/16/2018	
PX0559		NYU0094122	NYU0094126	3/18/2009	NYU Retirement Plan Committee Meeting Minutes			4/16/2018	Woodruff
PX0573		NYU0094677	NYU0094681	10/1/2016	NYU RFP Project: Follow up questions from RFP participants			4/16/2018	
PX0598	DX463	NYU0095952	NYU0095968	9/23/2010	Vendor Consolidation*			4/16/2018	CDDM Binder, Wagner
PX0599		NYU0096264	NYU0096264	12/8/2016	Cammack Memo re RFP Process Overview			4/18/2018	Geist
PX0600		NYU0096380	NYU0096382	6/14/2010	NYU Retirement Committee Meeting Minutes				Geist
PX0602		NYU0096592	NYU0096595	3/21/2011	403(b) Vendor Consolidation			4/16/2018	Meagher
PX0603	DX468	NYU0096639	NYU0096657	12/31/2010	NYU and NYU Langone Medical Center Executive Summary of Fiduciary Due Diligence Report*			4/16/2018	Meagher, Geist
PX0607		NYU0096837	NYU0096841	12/16/2009	TIAA RFP Presentation			4/16/2018	
PX0608		NYU0096932	NYU0096989	9/25/2009	Fidelity RFP Response			4/16/2018	
PX0616		NYU0100381	NYU0100517	3/31/2011	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Geist
PX0617		NYU0102304	NYU0102451	5/15/2009	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Geist
PX0618		NYU0103685	NYU0103802	12/31/2010	NYU and NYU Langone Medical Center Due Diligence Report			4/16/2018	Geist
PX0619		NYU0104039	NYU0104065	10/1/2016	Cammack - NYU Request for Proposal for Defined Contribution Recordkeeping Services			4/16/2018	
PX0625		NYU0108990	NYU0108992	2/17/2017	Letter to Sponsors re: Statement of Work and Fund Line-up Change with Mapping			4/16/2018	
PX0626		NYU0109008	NYU0109026	1/10/1978	Letter re: Summary Plan Descriptions; Enhancements to NYU's Retirement Program			4/16/2018	Geist, Meagher
PX0628		NYU0109888	NYU0109899	6/9/2008	Overview & Background for NYU Retirement Committee Charter			4/16/2018	
PX0630		NYU0112654	NYU0112662	8/11/2016	Emails re: Investment Policy Statement			4/18/2018	Petti; Halley
PX0635		NYU0120062	NYU0120067	7/20/2016	NYU SoM Application for CREF Retirement Choice Plus Annuity Contract			4/16/2018	
PX0636		NYU0122579	NYU0122584	1/1/2012	NYU SoM 403(b) Retirement Plan Summary of Plan Services and Costs			4/16/2018	
PX0646		NYU0151353	NYU0151355	6/23/2016	Letter to Plan Sponsors re: Statement of Work and Fund Line-up Change with Mapping			4/16/2018	
PX0647	DX508	NYU0002892	NYU0003002	6/1/2016	NYU Retirement Committee Meeting Materials, including 3-31-2016 Due Diligence Report			4/16/2018	Meagher
PX0650	DX146	NYU0161158	NYU0161177	6/30/2013	NYU SOM 403(b) Retirement Plan Investment Options Comparative Chart*			4/16/2018	Wagner
PX0651		NYU0161178	NYU0161193	12/31/2011	NYU SoM 403(b) Retirement Plan Investment Options Comparative Chart			4/16/2018	Geist
PX0652	DX176	NYU0161209	NYU0161233	5/24/2012	Vanguard All-in Fee Disclosure - NYU SOM*			4/16/2018	Meagher, Wagner
PX0654	DX085	NYU0161618	NYU0161635	3/31/2014	NYU Retirement Plan Investment Options Comparative Chart			4/18/2018	Petti, Buetow
PX0656	DX082	NYU0000111	NYU0000128	3/31/2013	NYU Retirement Plan Investment Options Comparative Chart, as of 3/31/2013			4/18/2018	Petti, Wagner
PX0657	DX081	NYU0161772	NYU0161789	12/31/2011	TIAA NYU Investment Options Comparative Chart			4/18/2018	Petti, Buetow
PX0659		NYU0161850	NYU0161855	12/9/2015	Resolution approving Amendment to Retirement Plan Committee Charter - Board of trustees of NYU			4/16/2018	
PX0662	DX515	NYU0162846	NYU0162955	9/7/2017	NYU Retirement Committee Meeting Materials, including 6-30-2017 Due Diligence Report*			4/16/2018	Meagher, Halley, Petti, Chitteden
PX0663	DX516	NYU0162956	NYU0163027	9/7/2017	Cammack Supplemental Investment Information*			4/16/2018	Meagher
PX0669		PLA-NYU-004141	PLA-NYU-004165	5/19/2009	Minutes, Resolutions and Retirement Plan Committee Charter - NYU Investment Committee and Operating Committee of the Board of Trustees of NYU Hospitals Center			4/16/2018	
PX0670	DX160	TIAA_NYU_00000049	TIAA_NYU_00000054	12/31/2011	Dear Plan Sponsor Letter with Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Wagner
PX0672	DX162	TIAA_NYU_00000056	TIAA_NYU_00000059	12/31/2012	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Chitteden, Wagner, Turki
PX0673	DX163	TIAA_NYU_00000060	TIAA_NYU_00000066	12/31/2012	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Wagner

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PX0674	DX161	TIAA_NYU_00000067	TIAA_NYU_00000067	12/31/2012	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0676	DX165	TIAA_NYU_00000069	TIAA_NYU_00000073	12/31/2013	TIAA Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Halley, Wagner
PX0677	DX166	TIAA_NYU_00000074	TIAA_NYU_00000080	12/31/2013	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0678	DX164	TIAA_NYU_00000081	TIAA_NYU_00000081	12/31/2013	NYU SOM - Summary of Fees and Compensation for Your Plan TIAA-CREF*			4/16/2018	Geist, Wagner
PX0680	DX168	TIAA_NYU_00000083	TIAA_NYU_00000087	12/31/2014	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0681	DX169	TIAA_NYU_00000088	TIAA_NYU_00000094	12/31/2014	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0682	DX167	TIAA_NYU_00000095	TIAA_NYU_00000095	12/31/2014	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0684	DX171	TIAA_NYU_00000107	TIAA_NYU_00000111	12/31/2015	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0685	DX172	TIAA_NYU_00000112	TIAA_NYU_00000119	12/31/2015	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0686	DX170	TIAA_NYU_00000120	TIAA_NYU_00000120	12/31/2015	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0688	DX174	TIAA_NYU_00000122	TIAA_NYU_00000125	12/31/2016	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0689	DX175	TIAA_NYU_00000126	TIAA_NYU_00000133	12/31/2016	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Geist, Wagner
PX0690	DX173	TIAA_NYU_00000134	TIAA_NYU_00000134	12/31/2016	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0692	DX093	TIAA_NYU_00000213	TIAA_NYU_00000214	12/31/2010	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0693	DX094	TIAA_NYU_00000244	TIAA_NYU_00000246	12/31/2010	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0694	DX092	TIAA_NYU_00000254	TIAA_NYU_00000254	12/31/2010	NYU Summary of Fees and Compensation for your Plan*			4/16/2018	Geist, Wagner
PX0696	DX096	TIAA_NYU_00000869	TIAA_NYU_00000870	12/31/2011	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0697	DX097	TIAA_NYU_00000902	TIAA_NYU_00000904	12/31/2011	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0698	DX095	TIAA_NYU_00000912	TIAA_NYU_00000912	12/31/2011	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0700	DX099	TIAA_NYU_00001578	TIAA_NYU_00001579	12/31/2012	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0701	DX100	TIAA_NYU_00001612	TIAA_NYU_00001615	12/31/2012	NYU Service Provider Summary*			4/16/2018	Meagher, Petti
PX0702	DX098	TIAA_NYU_00001627	TIAA_NYU_00001627	12/31/2012	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0704	DX103	TIAA_NYU_00002308	TIAA_NYU_00002309	12/31/2013	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0705	DX864	TIAA_NYU_00002337	TIAA_NYU_00002337	12/31/2013	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0706	DX104	TIAA_NYU_00002345	TIAA_NYU_00002347	12/31/2013	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0707	DX102	TIAA_NYU_00002355	TIAA_NYU_00002355	12/31/2013	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0709	DX106	TIAA_NYU_00003096	TIAA_NYU_00003097	12/31/2014	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0710	DX865	TIAA_NYU_00003131	TIAA_NYU_00003131	12/31/2014	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0711	DX107	TIAA_NYU_00003139	TIAA_NYU_00003141	12/31/2014	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0712	DX105	TIAA_NYU_00003149	TIAA_NYU_00003149	12/31/2014	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0713	DX109	TIAA_NYU_00003942	TIAA_NYU_00003943	12/31/2015	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0714	DX866	TIAA_NYU_00003977	TIAA_NYU_00003977	12/31/2015	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0715	DX110	TIAA_NYU_00003985	TIAA_NYU_00003987	12/31/2015	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0716	DX108	TIAA_NYU_00003997	TIAA_NYU_00003997	12/31/2015	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0718	DX112	TIAA_NYU_00004772	TIAA_NYU_00004773	12/31/2016	NYU Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0719	DX867	TIAA_NYU_00004811	TIAA_NYU_00004811	12/31/2016	Revenue Credit Account Report - Faculty Plan*			4/16/2018	Petti
PX0720	DX113	TIAA_NYU_00004819	TIAA_NYU_00004821	12/31/2016	NYU Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner
PX0721	DX111	TIAA_NYU_00004830	TIAA_NYU_00004830	12/31/2016	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0723	DX868	TIAA_NYU_00007275	TIAA_NYU_00007275	12/31/2013	Revenue Credit Account Report - SOM Plan*			4/16/2018	
PX0724	DX869	TIAA_NYU_00008458	TIAA_NYU_00008458	12/31/2014	Revenue Credit Account Report - SOM Plan*			4/16/2018	
PX0725	DX870	TIAA_NYU_00009715	TIAA_NYU_00009715	12/31/2015	Revenue Credit Account Report - SOM Plan*			4/16/2018	
PX0726	DX871	TIAA_NYU_00011132	TIAA_NYU_00011132	12/31/2016	Revenue Credit Account Report - SOM Plan*			4/16/2018	
PX0729	DX776	TIAA_NYU_00013984	TIAA_NYU_00014033	8/1/1980	TIAA Retirement Annuity Contract (to participant MONACO)			4/16/2018	
PX0731	DX782	TIAA_NYU_00014168	TIAA_NYU_00014287	8/1/2013	TIAA Retirement Annuity Contract (to participant MONACO)*			4/19/2018	Monaco
PX0734	DX771	TIAA_NYU_00014439	TIAA_NYU_00014544	10/1/2012	TIAA Group Retirement Annuity Certificate (to participant SACERDOTE)			4/16/2018	
PX0737	DX780	TIAA_NYU_00014751	TIAA_NYU_00014870	12/1/2004	TIAA Retirement Annuity Contract (to participant SAMUELS)*			4/16/2018	Geist
PX0739	DX777	TIAA_NYU_00015002	TIAA_NYU_00015041	10/1/1981	TIAA Retirement Annuity Contract (to participant STRAUSSNER)			4/16/2018	
PX0743	DX101	TIAA_NYU_00015338	TIAA_NYU_00015345	12/31/2012	Dear Plan Sponsor Letter with Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner, Petti, Turki
PX0745		TIAA_NYU_00015392	TIAA_NYU_00015393	12/1/2014	TIAA-CREF Service & Fee Disclosure Change Notice			4/16/2018	
PX0747	DX158	TIAA_NYU_00015726	TIAA_NYU_00015727	12/31/2011	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0748	DX159	TIAA_NYU_00015750	TIAA_NYU_00015751	12/31/2011	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Petti, Wagner

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PX0749	DX157	TIAA_NYU_00015756	TIAA_NYU_00015756	12/31/2011	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Meagher, Geist, Petti, Wagner
PX0751	DX155	TIAA_NYU_00016191	TIAA_NYU_00016191	12/31/2010	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Meagher, Geist, Wagner
PX0752	DX156	TIAA_NYU_00016220	TIAA_NYU_00016221	12/31/2010	NYU SOM Service Provider Summary*			4/16/2018	Meagher, Wagner
PX0753	DX154	TIAA_NYU_00016225	TIAA_NYU_00016225	12/31/2010	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0755	DX090	TIAA_NYU_00016896	TIAA_NYU_00016897	12/31/2009	NYU Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner
PX0756	DX091	TIAA_NYU_00016925	TIAA_NYU_00016927	12/31/2009	NYU Service Provider Summary*			4/16/2018	Wagner
PX0757	DX089	TIAA_NYU_00016935	TIAA_NYU_00016935	12/31/2009	NYU Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0759	DX152	TIAA_NYU_00017438	TIAA_NYU_00017439	12/31/2009	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner
PX0760	DX153	TIAA_NYU_00017462	TIAA_NYU_00017463	12/31/2009	NYU SOM Service Provider Summary*			4/16/2018	Wagner
PX0761	DX151	TIAA_NYU_00017467	TIAA_NYU_00017467	12/31/2009	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0773	DX402	CLC0019101	CLC0019116	2/11/2010	TIAA-CREF Response to Additional Questions for NYU, NYU Langone Medical Center and NYU Polytechnic Institute, dated February 11, 2010*			4/23/2018	Rezler
PX0781		TIAA_NYU_00030743	TIAA_NYU_00030768	5/3/2017	TIAA Letter re Important information about your retirement plan				Buetow
PX0782		TIAA_NYU_00031997	TIAA_NYU_00031997	6/30/2009	TIAA Cref RFP			4/16/2018	
PX0827		TIAA_NYU_00044715	TIAA_NYU_00044724	12/31/2011	NYU School of Medicine Investment Options Comparative Chart				Buetow
PX0829		NYU0157318	NYU0157320	8/22/2016	SOM Custodial Account Agreement - Revised Appendix A			4/16/2018	
PX0831		TIAA_NYU_00054957	TIAA_NYU_00054958	5/12/2010	Email from M. Meagher to P. Hueber re issues related to client moves, SRK			4/16/2018	Meagher
PX0839	DX697	TIAA_NYU_00055277	TIAA_NYU_00055280	12/31/2010	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2010*			4/16/2018	
PX0842		TIAA_NYU_00056900	TIAA_NYU_00056901	8/26/2009	Email from P. Hueber to M. Meagher regarding TIAA RFP			4/16/2018	Meagher
PX0844		TIAA_NYU_00056916	TIAA_NYU_00057319	9/1/2009	NYU, NYU SoM, NYUHC, and NYU POLY Defined Contribution Retirement Programs			4/16/2018	
PX0845		TIAA_NYU_00057399	TIAA_NYU_00057400	11/13/2009	Email Meagher to Hueber Re: RFP and TIAA facing some skeptics			4/17/2018	Meagher
PX0846		TIAA_NYU_00057405	TIAA_NYU_00057405	12/4/2009	Email Hueber to Meagher Re: NYU Reitremment Plan				Geist
PX0851		TIAA_NYU_00059438	TIAA_NYU_00059468	2/25/2011	Attachment to TIAA_NYU_00059436: NYU_Plan Changes Proposal DRAFT				Geist
PX0862		TIAA_NYU_00065503	TIAA_NYU_00065505	6/30/2017	NYU Plan Economics Reconciliation Report TIAA-CREF			4/16/2018	Geist
PX0864		TIAA_NYU_00065537	TIAA_NYU_00065542	9/30/2017	NYU SoM: Plan Economics Reconciliaiton Report TIAA			4/16/2018	Geist
PX0867	DX649	TIAA_NYU_00081731	TIAA_NYU_00081757	10/14/2014	TIAA REA 8-K, includes FAQs*			4/16/2018	Buetow
PX0879		TIAA_NYU_00083468	TIAA_NYU_00083493	12/6/2012	Cammack and TIAA: Partnering for Success				
PX0880		TIAA_NYU_00083604	TIAA_NYU_00083687	12/16/2009	Cammack - The New World of 403(b): Audits, Filing and Regulatory Compliance				Geist
PX0884		TIAA_NYU_00084896	TIAA_NYU_00084911	8/29/2017	Statement of Work			4/16/2018	
PX0887		TIAA_NYU_00086131	TIAA_NYU_00086226	12/1/2016	TIAA Retirement Annuity Contract (to participant STEFAN)			4/16/2018	Meagher
PX0892		Vanguard-NYU_0000008	Vanguard-NYU_0000010	8/13/2012	Vanguard Letter to NYU SoM re: Asset Transfer Out Engagement for multiple Plans			4/16/2018	Meagher
PX0899	DX407	Vanguard-NYU_0000320	Vanguard-NYU_0000382	11/16/2016	2016 Vanguard RFP Response*			4/16/2018	Rezler, Geist, Wagner
PX0902	DX034	Vanguard-NYU_0001203	Vanguard-NYU_0001218	6/30/2011	Vanguard All-in fee disclosure for NYU (as of June 30, 2011) [entered by Plaintiffs in the deposition of Heming]*			4/16/2018	Meagher, Geist, Petti; Heming
PX0904	DX143	Vanguard-NYU_0001296	Vanguard-NYU_0001328	6/30/2015	Vanguard All-in Fee Disclosure - NYU*			4/16/2018	Buetow, Wagner
PX0905		Vanguard-NYU_0001443	Vanguard-NYU_0001468	6/30/2017	Vanguard All-in Fee Disclosure - NYU			4/16/2018	Geist
PX0906		Vanguard-NYU_0001469	Vanguard-NYU_0001475	2/9/2011	Vanguard All-in Fee Information - NYU			4/16/2018	
PX0907		Vanguard-NYU_0001476	Vanguard-NYU_0001499	4/30/2013	Vanguard All-in Fee Disclosure - NYU			4/16/2018	
PX0910		Vanguard-NYU_0001537	Vanguard-NYU_0001538	4/30/2013	Vanguard NYU-2013 Lowest SC Prior Month Assets 2012				Geist
PX0912		Vanguard-NYU_0001541	Vanguard-NYU_0001581	5/31/2014	Vanguard All-in Fee Disclosure - NYU			4/16/2018	
PX0918		Vanguard-NYU_0033605	Vanguard-NYU_0033613	8/17/2017	Vanguard All-in Fee Disclosure - NYU			4/16/2018	Geist
PX0919		Vanguard-NYU_0033749	Vanguard-NYU_0033757	6/30/2016	Vanguard All-in Fee Disclosure - NYU			4/16/2018	
PX0920		Vanguard-NYU_0043225	Vanguard-NYU_0043250	12/31/2014	NYU Retirement Plan for Members of FAC, Prof Res Staff and Admin: Plan and Investment Notice			4/16/2018	
PX0924		Vanguard-NYU_0067367	Vanguard-NYU_0067427	12/31/2009	Vanguard Overview			4/16/2018	Geist
PX0929				12/31/2017	CREF Stock Account Class R3 Fact Sheet			4/18/2018	Chittenden, Fischel
PX0931				9/30/2017	TIAA Real Estate Account Quarterly Performance Analysis				Buetow
PX0932				12/31/2017	TIAA Real Estate Account Fund Fact Sheet			4/16/2018	Chittenden, Fischel
PX0933				12/31/2011	TIAA Real Estate Quarterly Analysis				Buetow

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PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0937		NYU0106544 NYU0000589 NYU00001690 NYU0001705 NYU0000611	NYU0106565 NYU0000592 NYU00001693 NYU00001707 NYU0000612	10/1/2012	October 1, 2012 TIAA-CREF Recordkeeping Services Agreement for the Medical Plan with Amendments and Addendums			4/16/2018	Geist
PX0939		NYU0036483 NYU0110247	NYU0036522 NYU0110251	1/1/2017	2017 SOM TIAA Amended and Restated Recordkeeping Services Agreement w/ Amendments			4/16/2018	
PX0940	DX379	NYU0042542	NYU0042578	1/1/2014	New York University Retirement Plan for Members of the Faculty, Professional Research Staff and Administration, Amended and Restated Effective January 1, 2014			4/16/2018	Meagher, Halley, Wagner
PX0943		NYU0035388 NYU0116550	NYU0035432 NYU0116552	12/5/2012	NYU School of Medicine Retirement Plan for Members of the Faculty, Professional Research Staff and Administration w/ Amendments			4/16/2018	
PX0944		TIAA_NYU_00032598	TIAA_NYU_00032620	1/1/2002	NYU Retirement Plan for Members of Faculty, Professional Research Staff and Administration			4/16/2018	Meagher, Geist
PX0948		NYU0109694	NYU0109727	6/6/2012	Retirement Choice Plus Annuity Contract: TE-151576			4/16/2018	
PX0949		Vanguard-NYU_0027091 Vanguard-NYU_0033869	Vanguard-NYU_0027095 Vanguard-NYU_0033871	10/2/2008	10-2-2008 Vanguard Recordkeeping Fee Agreement			4/16/2018	Heming
PX0950		Vanguard-NYU_0033793 Vanguard-NYU_0033830	Vanguard-NYU_0033795 Vanguard-NYU_0033834	7/1/2011	Vanguard - NYU Recordkeeping Fee Agreement			4/16/2018	
PX0951		Vanguard-NYU_0033843	Vanguard-NYU 0033859	5/21/2015	Vanguard All-in Fee Disclosure - NYU			4/16/2018	
PX0959	DX517	NYU0164396	NYU0164511	12/11/2017	NYU Retirement Committee Meeting Materials, including 9-30-2017 Due Diligence Report*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0960	DX518	NYU0164512	NYU0164585	12/11/2017	Cammack Supplemental Investment Information*			4/16/2018	Meagher
PX0962	DX519	NYU0164593	NYU0164701	3/16/2018	NYU Retirement Committee Meeting Materials*			4/16/2018	Meagher, Sanchez, Halley, Petti
PX0963	DX621	NYU0164702	NYU0164774	3/16/2018	Supplemental Investment Information*				
PX0964	DX123	NYU0164791	NYU0164792	12/31/2017	Investment Fee & Expense Disclosure*			4/16/2018	Petti, Geist, Wagner
PX0965	DX127	NYU0164796	NYU0164797	12/31/2017	Plan Servicing Credit Report WS			4/16/2018	
PX0967	DX137	NYU0164817	NYU0164817	12/13/2017	Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0968	DX183	NYU0165002	NYU0165005	12/31/2017	NYU SOM Investment Fee & Expense Disclosure*			4/16/2018	Geist, Wagner
PX0969	DX185	NYU0165013	NYU0165013	12/31/2017	NYU SOM Summary of Fees and Compensation for Your Plan*			4/16/2018	Geist, Wagner
PX0972	DX413	CLC0001265	CLC0001275	6/4/2008	Cammack Defined Contribution Plan Observations*			4/16/2018	Geist, Meagher
PX0974	DX393	CLC0002586	CLC0002626	1/1/2009	NYU School of Medicine Retirement Plan for Faculty Effective January 1, 2009*			4/16/2018	Geist, Wagner
PX0976		CLC0009559	CLC0009559		Advantages of Consolidation to a Single Vendor			4/16/2018	Meagher, Geist
PX1001	DX458	NYU0096809	NYU0096815	3/31/2010	Addendum-Watch List Funds			4/16/2018	Meagher, Wagner
PX1014		CLC0024335	CLC0024335	9/23/2010	NYU Retirement Plan Committee Meeting Minutes			4/19/2018	Hollensteiner
PX1021		CLC0024634	CLC0024635	11/2/2010	Email Woodruff to Committee Re: Retirement Committee Conference call 11/2, 3PM				
PX1025		CLC0024662	CLC0024663	11/2/2010	Email from J. Rezler to L. Woodruff re NYU Vendor Consolidation Information			4/16/2018	Woodruff
PX1037		CLC0025153	CLC0025166	1/1/2011	Attachment to CLC0025143: NYU Vendor Consolidation Overview For Core Leadership_December 2010_12162010 edits				Buetow
PX1039	DX037	CLC0025238	CLC0025241	1/7/2011	January 7, 2011 e-mail from Jan Rezler re: NYU Retirement Committee Meeting *			4/16/2018	Meagher
PX1040									
PX1041									
PX1042									
PX1043									
PX1044	DX435	CLC0025247	CLC0025273	1/10/2011	E-mail dated January 10, 2011 from Linda Woodruff to Jan Rezler, et al. attaching materials for Retirement Committee Meeting			4/17/2018	Meagher, Sanchez, Surh
PX1044		CLC0025260	CLC0025273	1/10/2011	NYU and NYU LMC Fiduciary Due Diligence Report			4/18/2018	Geist
PX1050		CLC0025308	CLC0025308	1/19/2011	Email - Revised Exec Summary and Pricing Illustration				
PX1070		CLC0026103	CLC0026106	4/1/2011	NYU_RetirementCommitteeMinutes_March2011_CURRENT DRAFT.doc				Buetow
PX1072		CLC0026188	CLC0026206	12/31/2010	NYU and NYU LMC Executive Summary of Fiduciary Due Diligence Report			4/18/2018	Geist
PX1073	DX467	CLC0010875	CLC0010992	12/31/2010	Cammack Due Diligence Report			4/16/2018	Meagher, Geist

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PX1080		CLC0041218	CLC0041303	5/22/2014	Attachment to CLC0041099: NYU Retirement Committee Fiduciary Due Diligence Report			4/16/2018	
PX1087									
PX1088									
PX1089									
PX1090									
PX1091									
PX1092									
PX1093									
PX1094									
PX1095									
PX1096	DX437	CLC0026870	CLC0027100	6/2/2011	June 2, 2011 e-mail to Committee with Cammack Due Diligence Report			4/18/2018	Meagher, Sanchez
PX1092	DX471	NYU0097546	NYU0097600	3/31/2011	Fiduciary Due Diligence Report - Abridged Version			4/16/2018	Meagher
PX1093	DX472	CLC0011629	CLC0011765	3/31/2011	Cammack Due Diligence Report			4/16/2018	Meagher, Buetow
PX1102									
PX1103									
PX1104									
PX1105									
PX1106									
PX1107									
PX1108									
PX1109	DX438	CLC0027428	CLC0027631	8/8/2011	E-mail to Committee with 6-30-2011 Cammack Due Diligence Report; 6-2011 Retirement Meeting Minutes; August Meeting Agenda Timeline; NYU Draft Communication; NYU Due Diligence Report Q2 2011, June 2011 NYU Fiduciary Responsibility; NYU Mapping Sample Timeline			4/16/2018	Meagher, Sanchez
PX1104		CLC0027432	CLC0027488	6/30/2011	NYU LMC Fiduciary Due Diligence Report - Abridged Version			4/18/2018	Geist
PX1107	DX474	CLC0012620	CLC0012753	6/30/2011	Cammack Due Diligence Report			4/16/2018	Meagher, Geist
PX1108		CLC0027625	CLC0027630	6/30/2011	NYU and NYU LMC Fiduciary Responsibility and Process Review				Geist
PX1116		CLC0027671	CLC0027671	8/15/2011	NYU and NYU LMC Retirement Plans - Additional Topics for Discussion			4/18/2018	Geist
PX1135									
PX1136									
PX1137									
PX1138									
PX1139									
PX1140									
PX1141									
PX1142									
PX1143									
PX1144									
PX1145	DX439	CLC0028807	CLC0029081	11/7/2011	E-mail to Committee with November 2011 Committee Meeting Materials			4/18/2018	Meagher, Sanchez
PX1143	DX478	NYU0007443	NYU0007490	9/30/2011	Fiduciary Due Diligence Report - Abridged Version			4/16/2018	Meagher, Geist, Buetow
PX1144		CLC0028948	CLC0029068	9/30/2011	NYU LMC Fiduciary Due Diligence Report			4/16/2018	Geist
PX1174		CLC0033709	CLC0033787	3/31/2012	NYU and NYU LMC Fiduciary Due Diligence Report - Abridged Version			4/18/2018	Geist
PX1187									
PX1188									
PX1189									
PX1190									
PX1191	DX442	CLC0035959	CLC0036203	11/9/2012	E-mail to Committee with 9-30-2012 Cammack Due Diligence Report; September 2012 Retirement Committee Minutes; Vanguard Report			4/16/2018	Meagher, Sanchez, Petti
PX1190		CLC0035967	CLC0036063	9/30/2012	NYU and NYU LMC Fiduciary Due Diligence Report			4/16/2018	Geist

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PX1198 PX1199 PX1200 PX1201 PX1202 PX1203	DX443	CLC0036610	CLC0036737	2/15/2013	E-mail to Committee with 11-2012 Committee Minutes; 12-31-2012 Cammack Due Diligence Report; 12-31-2012 Supplemental Investment Information; Current and Sample Fund Choice Grids			4/18/2018	Meagher, Sanchez, Petti
PX1201	DX486	CLC0036615	CLC0036681	12/31/2012	Cammack NYU Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1202	DX487	NYU0097746	NYU0097796	12/31/2012	Supplemental Investment Information			4/16/2018	Meagher
PX1209		CLC0037687	CLC0037687	6/14/2013	NYU Retirement Committee Meeting Agenda			4/17/2018	Meagher, Sanchez
PX1210		CLC0037688	CLC0037692	9/28/2012	NYU, NYU School of Medicine, and NYU Hospitals Center Retirement Plan Committee Charter			4/16/2018	
PX1212	DX488	CLC0037694	CLC0037762	3/31/2013	NYU LMC Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1213	DX489	NYU0100754	NYU0100813	3/31/2013	Supplemental Investment Information			4/16/2018	Meagher
PX1214		CLC0037823	CLC0037838	6/14/2013	Cammack NYU Vendor Consolidation Report				
PX1216		CLC0037848	CLC0037848	2/22/2013	Vanguard Share Class Change and Fee Arrangement			4/16/2018	Heming
PX1218	DX490	CLC0038071	CLC0038128	6/30/2013	Cammack NYU Fiduciary Due Diligence Report			4/17/2018	Geist; Meagher
PX1221 PX1222 PX1223 PX1224 PX1225 PX1226 PX1227 PX1228	DX445	CLC0038632	CLC0038784	11/18/2013	E-mail to Committee with 6-2013 Minutes; 11-2013 Meeting Agenda; 9-28-2012 Charter; Proposed changes to NYU Retirement Plan; 5-2011 Draft Investment Policy Statement; Q3 2013 Cammack Due Diligence Report; Q3 2013 Supplemental Investment Information			4/18/2018	Meagher, Sanchez, Petti
PX1227		CLC0038654	CLC0038722	9/30/2013	Attachment to CLC0038632: NYU LMC and NYU Polytechnical Institute Fiduciary Due Diligence Report			4/16/2018	Geist
PX1230		CLC0039503	CLC0039504	2/19/2014	Email Wrightson to Committee Re: NYU/NYU Langone Committee Meeting Materials - February 26, 2014			4/16/2018	Meagher, Sanchez, Petti
PX1231		CLC0039505	CLC0039507	11/25/2013	Attachment to CLC0039503: Retirement Committee Meeting Minutes			4/16/2018	Meagher, Sanchez, Petti
PX1232		CLC0039508	CLC0039508	2/26/2014	Attachment to CLC0039503: NYU Retirement Committee Meeting Agenda			4/16/2018	Meagher, Sanchez, Petti
PX1233	DX494	CLC0039509	CLC0039590	12/31/2013	Attachment to CLC0039503: NYU LMC and NYU Polytechnical Institute Fiduciary Due Diligence Report			4/16/2018	Meagher, Sanchez, Petti, Geist
PX1234	DX495	CLC0039591	CLC0039639	12/31/2013	Attachment to CLC0039503: NYU, NYU LMC, and NYU Polytechnic Supplemental Investment Information			4/16/2018	Meagher, Sanchez, Petti
PX1235		CLC0039640	CLC0039647	2/26/2014	Attachment to CLC0039503: NYU, NYU LMC, and NYU Polytechnic Investment Policy Statement DRAFT			4/16/2018	Meagher, Sanchez, Petti
PX1236		CLC0039648	CLC0039648	2/26/2014	Attachment to CLC0039503: Sample Language for Evaluating Sharpe Ratio in Investment Policy Statements			4/16/2018	Meagher, Sanchez, Petti
PX1239 PX1240 PX1241 PX1242 PX1243 PX1244 PX1245 PX1246 PX1247 PX1248 PX1249 PX1240	DX446 DX578	CLC0040656 NYU0004589	CLC0040861 NYU0004591	5/16/2014 2/26/2014	E-mail to Committee with 2-2014 Minutes; 5-22 Agenda; 5-2014 Investment Policy Statement; Q1 2014 Cammack Due Diligence Report; Q1 Supplemental DDRS; Q1 Lipper Report; 6-14-2010 Minutes; 6-14-2010 Supplemental Information; Q1 2014 Target Date Materials 2014_02_26 NYU Retirement Committee Minutes			4/16/2018 4/16/2018	Meagher, Sanchez, Petti Meagher, Sanchez, Petti

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PX1246		CLC0040814	CLC0040836	5/22/2014	Attachment to CLC0040656: NYU Fiduciary Due Diligence Report 1Q 2014 Target Date Analysis			4/16/2018	Geist
PX1248	DX461	NYU0029044	NYU0029064	6/14/2010	Supplemental Fiduciary Committee Information			4/30/2018	Meagher
PX1253		CLC0041006	CLC0041091	5/22/2014	Attachment to CLC0041003: NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Geist
PX1273									
PX1274									
PX1275									
PX1276									
PX1277									
PX1278									
PX1279	DX448	CLC0043275	CLC0043425	12/5/2014	E-mail to committee with 12-11-2014 Meeting Agenda; 8-2014 Minutes; 10-2014 Minutes; Summary of Trustee Changes; Q3 2014 Cammack Due Diligence Report; Q3 2014 Supplemental Report				Meagher, Sanchez, Petti
PX1278	DX500	CLC0043284	CLC0043363	9/30/2014	Attachment to CLC0043275: NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/18/2018	Meagher, Geist
PX1279	DX501	NYU0099642	NYU0099703	9/30/2014	Supplemental Investment Information			4/16/2018	Meagher
PX1284									
PX1285	DX425	CLC0044990	CLC0044991	4/8/2015	E-mail from Jan Rezler attaching excel file regarding Select Ivy Plus Institutions - Active Recordkeepers			4/18/2018	Petti
PX1291									
PX1292									
PX1293									
PX1101									
PX1294									
PX1295									
PX1296									
PX1297	DX451	CLC0045592	CLC0045781	6/2/2015	E-mail to Committee with June 9, 2015 Meeting Agenda; Q4 2014 Meeting Notes; Q1 2015 Cammack Due Diligence Report; Q1 2015 Supplemental Report; DOL Fiduciary Brochure; Q1 2015 Fiduciary Responsibility			4/16/2018	Meagher, Sanchez, Petti
PX1294	DX504	NYU0003951	NYU0004038	3/31/2015	Cammack Due Diligence Report			4/16/2018	Meagher
PX1300									
PX1301									
PX1302									
PX1303									
PX1304									
PX1305	DX452	CLC0048246	CLC0048408	9/11/2015	E-mail to Committee with 9-15-2015 Meeting Agenda; Q1 2015 Minutes; Q2 2015 Cammack Due Diligence Report; Q2 2015 Supplemental Report			4/18/2018	Meagher, Sanchez, Halley, Petti
PX1303	DX584	NYU0003849	NYU0003851	6/9/2015	2015_06_09 NYU Retirement Committee Minutes			4/19/2018	Halley, Sanchez, Petti, Meagher
PX1309		CLC0048843	CLC0048853	10/1/2015	Attachment to CLC0048840: NYU Retirement Plan - Optimization Issues (CRG Draft)			4/18/2018	Petti
PX1311									
PX1312									
PX1313									
PX1419									
PX1314									
PX1315									
PX1316									
PX1317									
PX1318									
PX1319	DX453	CLC0049533	CLC0049707	12/10/2015	E-mail to Committee with 12-16-2015 Meeting Agenda; Q2 2015 Meeting Minutes; Q3 2015 Cammack Due Diligence Report; Vanguard Lower Cost Share Classes; Vanguard New Pricing Proposal; Q3 2015 Streamlined Fund Array; 2015 TIAA Revenue Credit Reallocation Methods; Q3 2015 Supplemental Report			4/16/2018	Meagher, Sanchez, Petti
PX1314	DX506	NYU0003499	NYU0003585	9/30/2015	Cammack Due Diligence Report			4/16/2018	Meagher
PX1321									
PX1322									
PX1323	DX423	CLC0050198	CLC0050203	2/18/2016	E-mail from Emily Wrightson to Trish Halley attaching exhibit D to Cammack Investment Advisory Services Agreement (Special Projects)*			4/23/2018	Rezler
PX1323		CLC0050200	CLC0050203	4/8/2009	Attachment to CLC0050198: Exhibit D to Cammack Investment Advisory Services Agreement (Special Projects)			4/16/2018	Geist

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PX1324	DX507	CLC0050400	CLC0050506	12/31/2015	Attachment to CLC0050397: NYU, NYU LMC, and NYU Polytechnic Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1331	DX587	NYU0113120	NYU0113121	3/2/2016	2016_03_02 NYU Retirement Committee Minutes			4/19/2018	Halley, Sanchez, Petti, Meagher
PX1335									
PX1336									
PX1337									
PX1338									
PX1339									
PX1340									
PX1341	DX045	CLC0054806	CLC0054993	9/1/2016	September 1, 2016 e-mail re: Retirement Committee Meeting Materials, attaching a due diligence report and other materials			4/18/2018	Meagher, Petti
PX1340	DX509	CLC0054814	CLC0054914	6/30/2016	Attachment to CLC0054806: NYU, NYU LMC, and NYU Lutheran Medical Center Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1348	DX510	CLC0058214	CLC0058312	9/30/2016	Attachment to CLC0058178: NYU, NYU LMC, and NYU Lutheran Medical Center Fiduciary Due Diligence Report			4/16/2018	Meagher, Geist
PX1361		CLC0063687	CLC0063786	5/24/2017	Attachment to CLC0063684: NYU Q1 2017 Due Diligence Report			4/16/2018	Geist
PX1362	DX514	NYU0162759	NYU0162845	5/24/2017	Cammack Supplemental Investment Information			4/16/2018	Meagher
PX1366	DX592	NYU0163208	NYU0163211	2/23/2017	2017_02_23 NYU Retirement Committee Meeting Minutes			4/18/2018	Petti, Sanchez, Halley, Petti, Meagher
PX1378									Geist
PX1390	DX492	NYU0002023	NYU0002091	9/30/2013	Cammack Due Diligence Report*			4/16/2018	Meagher, CDDM Binder
PX1394		NYU0006863	NYU0006899	1/1/2010	NYU Retirement Plan for Members of the Faculty, Professional Research Staff and Administration			4/16/2018	Geist
PX1395	DX380	NYU0007315	NYU0007351	1/1/2010	NYU Faculty Plan Document*			4/16/2018	Geist, Wagner
PX1403									
PX1404									
PX1405									
PX1406									
PX0507									
PX0508									
PX1407									
PX1408									
PX1409									
PX1410									
PX1411	DX440	NYU0012656	NYU0012865	2/14/2012	2-14-2012 e-mail from Susanna Hollnsteiner attaching Retirement Committee materials and 11-18-2011 Plan Committee Charter			4/16/2018	Meagher, Sanchez
PX1436		NYU0039315	NYU0039315	12/11/2014	Attachment to NYU0039313: NYU Retirement Plan Amendment and Restatement				Geist
PX1443		NYU0039436	NYU0039521	5/22/2014	Attachment to NYU0039421: NYU Retirement Committee Meeting Due Diligence Report			4/16/2018	
PX1446		NYU0039607	NYU0039627	6/14/2010	Attachment to NYU0039421: NYU Retirement Committee Meeting Supplemental Fiduciary Committee Information				Geist
PX1451									
PX1452	DX597	NYU0039731	NYU0039739	4/28/2016	E-mail from Patricia Halley attaching Retirement Plan Consolidation Proposal for Faculty Benefits Committee meeting			4/19/2018	Halley
PX1456	DX408	NYU0040986	NYU0040998	2/23/2017	NYU Defined Contribution Program: Request for Proposal - Executive Analysis*			4/16/2018	Buetow
PX1468		NYU0045475	NYU0045494	2/1/2017	Attachment to NYU0045474: NYU Defined Contribution Program: RFP Appendix to Executive Analysis			4/16/2018	
PX1470		NYU0081760	NYU0081760	9/1/2015	Email Petti to Wrightson and Levy (cc Halley, Kartanos, Hossnsteiner, and Rezler) Re: NYU purchasing RFP template, SOW fees and meeting schedule				
PX1471		NYU0081761	NYU0081772	8/5/2015	Attachment to NYU0081760: RFP for IA to perform RfP			4/16/2018	
PX1475		NYU0083752	NYU0083753	1/1/2016	Exhibit D - Special Projects to Investment Advisory Services Agreement (Original Affective Date: April 8, 2009)			4/16/2018	
PX1485		CLC0052659	CLC0052659	5/20/2016	Attachment to CLC0052655: NYU Bi-Weekly Project Status Meeting / Meeting Notes				Buetow
PX1493	DX767	NYU0099925	NYU0099953	1/1/2008	Vanguard Group Custodial Account Agreement*			4/16/2018	Meagher, Geist, Petti

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PX1496		NYU0106373	NYU0106375	12/28/2010	First Amendment			4/16/2018	
PX1502		NYU0110843	NYU0110861	5/6/2009	Vanguard SOM Group Custodial Account Agreement			4/16/2018	Geist
PX1506		NYU0129591	NYU0129605	1/1/2017	Amended and Restated Custodial Account Agreement for Private ERISA 403(b) Plan			4/16/2018	
PX1516		PLA-NYU-005390	PLA-NYU-005409		Minutes of the faculty senators council meeting				Buetow
PX1518		PLA-NYU-005410	PLA-NYU-006822		Minutes of the faculty senators council meeting				Dorph, Buetow
PX1557		Vanguard-NYU_0001192	Vanguard-NYU_0001196	3/2/2016	Schedule A - NYU Recordkeeping Fee Agreement			4/16/2018	
PX1614		Vanguard-NYU_0046662	Vanguard-NYU_0046703	2/9/2011	Email Roman to Rotenberger Re: FW: NYU Books				Geist
PX1617	DX734	TIAA_NYU_00059885	TIAA_NYU_00060000	12/31/2009	2009 CREF Annual Report, December 31, 2009*			4/16/2018	Buetow
PX1618				12/31/2010	CREF 2010 Annual Report			4/16/2018	
PX1620					Minutes of the faculty senators council meeting (11/3/2016 -11/2/2017)			4/24/2018	Dorph
PX1624				12/14/2017	Minutes of the faculty senators council meeting 12/14/2017			4/24/2018	Dorph
PX1637		PLA-NYU-004882	PLA-NYU-004901	7/30/2009	Reengineering II More Opportunities for Self Service				
PX1665	DX184	NYU0165006	NYU0165012	12/31/2017	NYU SOM Service Provider Summary*			4/16/2018	Wagner
PX1666	DX135	NYU0164806	NYU0164808	12/31/2017	Service Provider Summary*			4/16/2018	Petti
PX1668	DX549	NYU0000619	NYU0000621	11/8/2013	TIAA-CREF Custodial Revised Appendix A 11-8-2013 - SOM*			4/16/2018	Meagher
PX1669	DX550	NYU0000613	NYU0000615	4/4/2011	TIAA-CREF Custodial Revised Appendix A 4-4-2014 - SOM*			4/16/2018	Meagher
PX1670	DX547	NYU0000622	NYU0000622	10/17/2014	TIAA-CREF Custodial Addendum to Appendix A 10-17-2014 - SOM*			4/16/2018	Meagher, Petti
PX1671	DX543	NYU0000360	NYU0000360	9/26/2011	TIAA-CREF Addendum to Custodial Appendix A 9-26-2011*			4/16/2018	Petti
PX1672	DX544	NYU0000273	NYU0000273	4/20/2010	TIAA-CREF Custodial Appendix A 4-20-2010*			4/16/2018	
PX1673	DX088	NYU0163962	NYU0163987	3/31/2017	NYU Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart*			4/16/2018	Petti, Wagner
PX1674	DX150	TIAA_NYU_00041774	TIAA_NYU_00041794	6/30/2017	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart*			4/16/2018	Meagher, Wagner
PX1676	DX460	CLC0008504	CLC0008749	6/30/2010	Cammack Due Diligence Report			4/16/2018	Meagher
PX1681				4/11/2018	Declaration of Michael Geist			4/18/2018	Geist
PX1682				4/5/2018	Declaration of Dr. Mark Crispin Miller			4/19/2018	Miller
PX1683				4/5/2018	Declartaion of Dr. Monaco			4/19/2018	Monaco
PX1684				4/5/2018	Declartaion of Dr. Straussner			4/19/2018	Straussner
PX1685				4/5/2018	Declartaion of Dr. Sacerdote			4/19/2018	Sacerdote
PX1689					Wagner Deposition Errata			4/24/2018	Wagner
PX1690				4/11/2018	Declaration of Gerald Buetow			4/26/2018	Buetow
	DX003	NYU0164134	NYU0164176	2016	2016 Form 5500 for the Faculty Plan*				Wagner, Turki
	DX004	NYU0164089	NYU0164133	2016	2016 Form 5500 for the Medical Plan*				Wagner, Turki
	DX005	Vanguard-NYU_0000040	Vanguard-NYU_0000050	1/1/2012	January 1, 2012 Vanguard Recordkeeping Fee Agreement for the Faculty Plan			4/18/2018	Petti, Halley, Meagher
	DX019	CLC0033465	CLC0033682	5/10/2012	May 10, 2012 e-mail re: NYU/NYU Langone Retirement Committee Meeting – May 17, 2012 with attachments			4/16/2018	Meagher
	DX021	TIAA_NYU_00031578	TIAA_NYU_00031997	9/25/2009	September 25, 2009 e-mail attaching the September 2009 TIAA Response to Request for Proposal Submission [includes printouts of an excel document attached to the e-mail, which was produced by TIAA in native format]*			4/23/2018	Rezler
	DX024			4/4/2016	April 4, 2016 Amended Restated Charter of TIAA			4/16/2018	
	DX026	PLA-NYU-004657	PLA-NYU-004697	2011	2011 Form 5500 for the Medical Plan**			4/16/2018	Wagner
	DX027	PLA-NYU-004616	PLA-NYU-004656	2010	2010 Form 5500 for the Medical Plan**			4/16/2018	Wagner
	DX028	NYU0018987	NYU0019030	2012	2012 Form 5500 for the Medical Plan**			4/16/2018	Wagner
	DX032	PLA-NYU-004742	PLA-NYU-004785	2013	2013 Form 5500 for the Medical Plan **			4/16/2018	Wagner
	DX033	NYU0000518	NYU0000563	2014	2014 Form 5500 for the Medical Plan**			4/16/2018	Wagner, Turki
	DX035	PLA-NYU-004832	PLA-NYU-004876	2015	2015 Form 5500 for the Medical Plan**			4/16/2018	Wagner, Turki
	DX040			6/30/2011	June 30, 2011 CREF Semiannual Report**			4/16/2018	
	DX041			8/29/2017	August 29, 2017 Supplement No. 1 to the May 1, 2017 TIAA Real Estate Account Prospectus**			4/16/2018	

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PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX042	CLC0056516	CLC0056543	10/1/2016	October 2016 NYU Request for Proposal for Defined Contribution Recordkeeping Services**			4/16/2018, 4/23/2018	Rezler
	DX046	PLA-NYU-004248	PLA-NYU-004288	2010	2010 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX047	PLA-NYU-004289	PLA-NYU-004329	2011	2011 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX048	PLA-NYU-004330	PLA-NYU-004372	2012	2012 Form 5500 for the Faculty Plan**			4/16/2018	Meagher, Wagner
	DX049	PLA-NYU-004373	PLA-NYU-004415	2013	2013 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX050	NYU0000197	NYU0000240	2014	2014 Form 5500 for the Faculty Plan**			4/16/2018	Meagher, Wagner
	DX051	PLA-NYU-004166	PLA-NYU-004208	2015	2015 Form 5500 for the Faculty Plan**			4/16/2018	Wagner
	DX053			11/31/2011	2012 Investment Options Comparative Chart for the Medical Plan [filed as Exhibit 9 to ECF No. 46]			4/16/2018	Petti
	DX080	NYU0000104	NYU0000110	1/1/2012	NYU Retirement Plan Summary of Plan Services and Costs			4/18/2018	Petti, Wagner
	DX083	NYU0000154	NYU0000160	1/1/2013	NYU Retirement Plan Summary of Plan Services and Costs			4/18/2018	Petti, Wagner
	DX084	NYU0000072	NYU0000078	1/1/2014	NYU Retirement Plan Summary of Plan Services and Costs			4/18/2018	Petti, Wagner
	DX086	NYU0000079	NYU0000103	3/31/2015	NYU Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/18/2018	Petti, Wagner
	DX087	NYU0006838	NYU0006862	3/31/2016	NYU Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/18/2018	Petti, Wagner
	DX139	NYU0000310	NYU0000333	4/30/2013	Vanguard All-in Fee Disclosure - NYU				Petti, Meagher, Wagner
	DX145	NYU0000502	NYU0000517	12/31/2011	NYUSOM Retirement Plan Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX147	NYU0163377	NYU0163397	6/30/2014	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX148	NYU0163436	NYU0163458	6/30/2015	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX149	NYU0163399	NYU0163420	6/30/2016	NYUSOM Plan and Investment Notice; Summary of Plan Services and Costs; Investment Options Comparative Chart			4/16/2018	Meagher, Wagner
	DX196	TIAA_NYU_00015534	TIAA_NYU_00015539	12/31/2010	Brown 2010 Q4 Statement*			4/16/2018	Meagher
	DX260	TIAA_NYU_00016709	TIAA_NYU_00016718	6/30/2017	Miller 2017 Q2 Statement*			4/19/2018	Miller
	DX344	TIAA_NYU_00016651	TIAA_NYU_00016658	9/30/2009	Straussner 2009 Q3 Statement*			4/19/2018	Straussner
	DX376	TIAA_NYU_00016859	TIAA_NYU_00016872	3/31/2017	Straussner 2017 Q1 Statement*			4/16/2018	Meagher
	DX377	TIAA_NYU_00016873	TIAA_NYU_00016886	6/30/2017	Straussner 2017 Q2 Statement*			4/19/2018	Straussner
	DX378	NYU0083541	NYU0083584	1/1/2017	NYU Faculty Plan Document*				Wagner
	DX382	NYU0000418	NYU0000434	January, 2017	NYU Faculty Summary Plan Description**			4/16/2018	Petti
	DX383	NYU0037384	NYU0037407	June, 2016	NYU Faculty Summary Plan Description**			4/16/2018	Petti
	DX384	NYU0006423	NYU0006438	November, 2011	NYU Faculty Summary Plan Description**			4/16/2018	Petti
	DX386	NYU0116960	NYU0117004	1/1/2016	NYU SOM Plan Document			4/16/2018	Meagher, Wagner
	DX387	NYU0111010	NYU0111037	11/1/2012	NYU SOM Summary Plan Description**			4/16/2018	Meagher
	DX388	NYU0037432	NYU0037453	6/1/2015	NYU SOM Summary Plan Description**			4/16/2018	Meagher
	DX389	NYU0000639	NYU0000662	June, 2016	NYU SOM Summary Plan Description**			4/16/2018	Meagher
	DX390	NYU0120770	NYU0120793	November, 2016	NYU SOM Summary Plan Description**			4/16/2018	Meagher, Fischel
	DX391	NYU0026355	NYU0026361	1/1/2014	Investment Policy Statement*				Wagner
	DX400	CLC0019135	CLC0019197	1/15/2010	TIAA-CREF Response to Follow Up Questions for NYU, NYU Langone Medical Center and NYU Polytechnic Institute, dated January 15, 2010*			4/23/2018	Rezler
	DX404	CLC0058915	CLC0058948	12/1/2016	2016 RFP Cammack Analysis			4/16/2018	Rezler, Halley, Petti
	DX405	CLC0019499	CLC0019831	11/16/2016	2016 TIAA Response to RFP*			4/23/2018	Rezler, Wagner
	DX406	CLC0020269	CLC0020358	2016	2016 VALIC Response to RFP*			4/23/2018	Rezler, Wagner
	DX414	CLC0018269	CLC0018276	March, 2010	Cammack Retirement Plan Consulting Services Proposal*				Wagner
	DX415	CLC0034037	CLC0034077	6/13/2012	E-mail from Jeffrey Levy to Mark Petti and Jan Rezler attaching 2011 Higher Education Retirement Plan Survey Report			4/18/2018	Petti, Meagher
	DX418	CLC0038417	CLC0038443	10/15/2013	E-mail from Jan Rezler attaching October 2013 Higher Education Retirement Plan Survey Report**			4/16/2018	Petti

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PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX420	CLC0038248	CLC0038338	9/30/2013	E-mail from Jan Rezler to Susanna Hollnsteiner and Mark Petti attaching 2013 Transamerica Higher Education Retirement Plan Survey Report and 2012 Cammack Higher Education Retirement Plan Survey Report			4/18/2018	Petti
	DX424	CLC0043947	CLC0043957	1/30/2015	E-mail from Jan Rezler attaching 403(b) Plan Merger Considerations powerpoint**				
	DX427	CLC0048762	CLC0048766	9/21/2015	E-mail from Jan Rezler to Mark Petti Exhibit D to Cammack Investment Advisory Services Agreement (Special Projects)			4/16/2018	Meagher
	DX436	CLC0025965	CLC0026081	3/29/2011	E-mail to Committee with 3-28-2011 TIAA Plan Changes Discussion, 3-21-2011 Meeting Minutes, 4-1-2011 Supplemental Report			4/17/2018	Sanchez, Meagher
	DX441	CLC0034499	CLC0034630	8/28/2012	E-mail to Committee with 9-4-2012 Meeting Materials**			4/16/2018	Sanchez, Petti
	DX444	CLC0037681	CLC0037848	6/6/2013	E-mail to Committee with 2-2013 Retirement Minutes; 6-14-2013 Meeting Agenda; 9-28-2012 Committee Charter; 457b info; 3-31-2013 Cammack Due Diligence Report; 3-31-2013 Supplemental Investment Information; Vendor Consolidation Information; Sample Implementation Timeline; Share Class and Fee Options			4/16/2018	Meagher, Sanchez, Petti
	DX447	CLC0041961	CLC0042104	8/15/2014	E-mail to Committee with 8-19-2014 Meeting Agenda; 6-2014 Meeting Minutes; Q2 2014 Cammack Due Diligence Report; Q2 2014 Supplemental Report**			4/16/2018	Meagher, Sanchez
	DX449	CLC0044170	CLC0044318	2/20/2015	E-mail to Committee with 2-26-2015 Agenda; 12-2014 Meeting Minutes; Q4 2014 Cammack Due Diligence Report; Q4 2014 Supplemental Report; CREF Annuity Changes; CREF Annuity Impact**			4/16/2018	Meagher, Sanchez, Petti
	DX456	CLC0006183	CLC0006336	5/15/2009	Cammack Due Diligence Report			4/17/2018	Meagher; Fischel
	DX459	CLC0007515		3/31/2010	Supplemental Information			4/16/2018	Meagher
	DX462	NYU0028941	NYU0028960	7/14/2010	Vendor Consolidation*				CDDM Binder, Wagner
	DX465	NYU0097255	NYU0097266	November, 2010	Vendor Consolidation Overview*				CDDM Binder, Wagner
	DX470	NYU0085001	NYU0085009	February, 2011	Retirement Plan Vendor Consolidation*				CDDM Binder
	DX473	CLC0011320	CLC0011338	4/1/2011	Supplemental Information			4/16/2018	Meagher
	DX477	CLC0013102	CLC0013280	9/30/2011	Cammack Due Diligence Report			4/16/2018	Meagher
	DX479	CLC0013411	CLC0013584	12/31/2011	Cammack Due Diligence Report			4/16/2018	Meagher
	DX484	NYU0032593	NYU0032723	9/4/2012	NYU Retirement Committee Meeting Materials, including Abridged 6-30-2012 Due Diligence Report			4/16/2018	Meagher
	DX493	NYU0029941	NYU0030002	9/30/2013	Supplemental Investment Information*			4/16/2018	Meagher, CDDM Binder
	DX497	NYU0004366	NYU0004451	3/31/2014	Cammack Due Diligence Report*			4/16/2018	Meagher, CDDM Binder
	DX511	NYU0032724	NYU0032825	2/27/2017	NYU Retirement Committee Meeting Materials, including 12-31-2016 Due Diligence Report			4/16/2018	Meagher
	DX513	CLC0000514	CLC0000641	5/24/2017	NYU Retirement Committee Meeting Materials, including 3-31-2017 Due Diligence Report			4/16/2018	Meagher
	DX520	NYU0164818	NYU0164927	3/16/2018	Q4 2017 Retirement Committee Meeting Materials and Due Diligence Report			4/16/2018	Meagher
	DX521	NYU0164928	NYU0165000	3/16/2018	Q4 2017 Supplemental Investment Information			4/16/2018	Meagher
	DX524	NYU0001813	NYU0001817	1/18/2014	2014 NYU, NYU School of Medicine, and NYU Hospitals Center Retirement Plan Committee Charter**			4/16/2018	Meagher, Wagner
	DX526	NYU0000244	NYU0000257	3/10/2008	2008 Faculty TIAA Recordkeeping Services Agreement			4/16/2018	Meagher, Halley, Petti
	DX527	NYU0000416	NYU0000417	8/31/2008	August 31, 2008 Amendment No. 1 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan			4/18/2018	Petti
	DX528	NYU0000274	NYU0000275	3/9/2012	March 9, 2012 Amendment No. 2 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan			4/18/2018	Petti
	DX529	NYU0000241	NYU0000243	7/9/2012	July 9, 2012 Amendment No. 3 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan			4/18/2018	Petti
	DX530	NYU0000410	NYU0000415	9/18/2015	September 18, 2015 Amendment No. 4 to TIAA-CREF Recordkeeping Services Agreement for the Faculty Plan.			4/18/2018	Petti
	DX531	NYU0000276	NYU0000276	9/26/2011	Addendum to Schedule B of the Faculty TIAA Record Keeping Agreement			4/18/2018	Petti
	DX532	NYU0000564	NYU0000585	10/1/2012	October 1, 2012 TIAA-CREF Recordkeeping Services Agreement for the Medical Plan			4/18/2018	Petti, Halley, Meagher
	DX533	NYU0000589	NYU0000592	8/22/2016	August 22, 2016 Amendment No. 1 to TIAA-CREF Recordkeeping Services Agreement for the Medical Plan			4/16/2018	Meagher

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PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX534	NYU0000593	NYU0000596	11/8/2013	2013 SOM TIAA Recordkeeping Agreement Schedule B			4/16/2018	Meagher
	DX535	NYU0000616		4/4/2014	2014 SOM TIAA Recordkeeping Agreement Schedule B			4/16/2018	Geist, Meagher
	DX536	NYU0000611	NYU0000612	10/7/2014	Addendum to Schedule B of the SOM TIAA Record Keeping Agreement			4/16/2018	Meagher
	DX537	NYU0110247	NYU0110251	3/24/2017	Amendment No. 1 to the SOM Amended and Restated Record Keeping Services Agreement			4/16/2018	Meagher
	DX538	NYU0036483	NYU0036522	1/1/2017	2017 SOM TIAA Amended and Restated Recordkeeping Services Agreement			4/16/2018	Meagher
	DX540	NYU0116163	NYU0116177	1/1/2017	TIAA SOM Amended and Restated Custodial Agreement 1-1-2017			4/16/2018	Meagher
	DX541	NYU0157318	NYU0157320	8/22/2016	SOM Custodial Account Agreement - Revised Appendix A			4/18/2018	Petti, Meagher
	DX542	NYU0120613		12/1/2016	TIAA Custodial & Custodial Appendix A 12-1-2016			4/16/2018	Meagher
	DX545	NYU0000334	NYU0000348	3/10/2008	Custodial Account Agreement for a 403(b) Plan - SOM			4/18/2018	Petti
	DX546	NYU0000597	NYU0000610	10/1/2012	TIAA Custodial Account Agreement for a 403(b) Plan - SOM			4/16/2018	Meagher
	DX548	NYU0000408	NYU0000409	9/9/2008	Amendment No. 1 - Custodial Account Agreement between 403(b) and JP Morgan Chase Bank - Faculty			4/18/2018	Petti
	DX554	NYU0083304	NYU0083306	2/4/2009	2009_02_04 NYU Retirement Committee Minutes*			4/16/2018	Sanchez, Meagher
	DX560	NYU0002872	NYU0002876	3/18/2010	2010_03_18 NYU RFP Follow-Up Meeting Minutes (mistakenly dated 3/18/2009)			4/16/2018	Sanchez, Meagher
	DX562	NYU0002881	NYU0002883	6/14/2010	2010_06_14 NYU Retirement Committee Minutes				Sanchez, Meagher
	DX569	NYU0004043	NYU0004046	8/15/2011	2011_08_15 NYU Retirement Committee Minutes			4/16/2018	Sanchez
	DX630	NYU0029712	NYU0029762	12/31/2012	Supplemental Investment Information**				
	DX637	TIAA_NYU_00089538	TIAA_NYU_00089538	12/31/2017	NYU Faculty Plan Quarterly Assets and Participant Count**			4/16/2018	Wagner
	DX638	TIAA_NYU_00089539	TIAA_NYU_00089539	12/31/2017	NYU SOM Plan Quarterly Assets and Participant Count**			4/16/2018	Wagner
	DX640	TIAA_NYU_00081455	TIAA_NYU_00081484	3/6/2009	TIAA REA 8-K, incudes FAQs**			4/26/2018	Fischel
	DX641	TIAA_NYU_00081518	TIAA_NYU_00081544	3/29/2010	TIAA REA 8-K, incudes FAQs**			4/16/2018	
	DX654	TIAA_NYU_00081873	TIAA_NYU_00081896	9/15/2017	TIAA REA 8-K, includes FAQs**			4/16/2018	
	DX663	TIAA_NYU_00081373	TIAA_NYU_00081377	9/30/2013	TIAA REA Fact Sheet **			4/25/2018	Fischel
	DX664	TIAA_NYU_00081378	TIAA_NYU_00081382	12/31/2013	TIAA REA Fact Sheet **			4/16/2018	
	DX668	TIAA_NYU_00081398	TIAA_NYU_00081402	12/31/2014	TIAA REA Fact Sheet **			4/16/2018	
	DX672	TIAA_NYU_00081418	TIAA_NYU_00081422	12/31/2015	TIAA REA Fact Sheet **			4/16/2018	
	DX676	TIAA_NYU_00081439	TIAA_NYU_00081444	12/31/2016	TIAA REA Fact Sheet **			4/16/2018	
	DX679	No Bates number; available on Edgar		9/30/2017	TIAA REA Fact Sheet **			4/16/2018	Fischel
	DX680	No Bates number; available on Edgar		12/31/2017	TIAA REA Fact Sheet **			4/16/2018	
	DX681	TIAA_NYU_00060086	TIAA_NYU_00060267	5/1/2009	TIAA REA Prospectus with 8/21/2009 Supplement No. 1**			4/16/2018	
	DX683	TIAA_NYU_00061107	TIAA_NYU_00061314	5/1/2011	TIAA REA Prospectus with 8/24/2011 Supplement No. 1**			4/16/2018	
	DX684	TIAA_NYU_00061628	TIAA_NYU_00061884	5/1/2012	TIAA REA Prospectus with 2/22/2013 Supplement No. 1**			4/16/2018	
	DX685	TIAA_NYU_00062201	TIAA_NYU_00062461	5/1/2013	TIAA REA Prospectus with 6/24/2013 Supplement No. 1**			4/16/2018	
	DX686	TIAA_NYU_00062774	TIAA_NYU_00063001	5/1/2014	TIAA REA Prospectus with 2/12/2015 Supplement No. 1**			4/16/2018	
	DX687	TIAA_NYU_00063327	TIAA_NYU_00063617	4/24/2015	TIAA REA Prospectus with 2/24/2016 Supplement No. 2 and 6/12/2015 Supplement No. 1**			4/16/2018	
	DX688	TIAA_NYU_00063974	TIAA_NYU_00064271	5/1/2016	TIAA REA Prospectus with 2/23/2017 Supplement No. 1**			4/16/2018	
	DX689	No Bates number; available on Edgar		5/1/2017	TIAA REA Prospectus**			4/16/2018	Fischel
	DX691	TIAA_NYU_00081485	TIAA_NYU_00081517	8/31/2009	TIAA REA 8-K, incudes Quarterly Analysis for the Quarter Ending 6/30/2009**			4/16/2018	Rezler, Fischel
	DX693	No Bates number; available on Edgar		12/31/2009	TIAA REA 8-K, incudes Quarterly Analysis for the Quarter Ending 12/31/2009				Fischel
	DX701	No Bates number; available on Edgar		12/31/2011	TIAA REA 8-K, incudes Quarterly Analysis for the Quarter Ending 12/31/2011			4/23/2018	Rezler, Fischel
	DX705	TIAA_NYU_00082066	TIAA_NYU_00082079	3/15/2013	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2012**			4/16/2018	
	DX709	TIAA_NYU_00082122	TIAA_NYU_00082135	3/17/2014	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2013**			4/16/2018	
	DX713	TIAA_NYU_00082179	TIAA_NYU_00082192	3/10/2015	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2014**			4/16/2018	
	DX717	TIAA_NYU_00082236	TIAA_NYU_00082249	3/14/2016	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2015**			4/16/2018	

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	DX721	TIAA_NYU_00082291	TIAA_NYU_00082303	3/22/2017	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 12/31/2016**			4/16/2018	
	DX724	No Bates number; available on Edgar		9/30/2017	TIAA REA 8-K, includes Quarterly Analysis for the Quarter Ending 9/30/2017			4/23/2018	Rezler, Fischel
	DX727	TIAA_NYU_00071318	TIAA_NYU_00072258	6/30/2011	2011 CREF Semiannual Report, June 30, 2011**			4/16/2018	Fischel
	DX733	TIAA_NYU_00080825	TIAA_NYU_00081347	6/30/2017	2017 CREF Semiannual Report, June 30, 2017**			4/16/2018	Fischel
	DX735	TIAA_NYU_00060393	TIAA_NYU_00060495	12/31/2010	2010 CREF Annual Report, December 31, 2010**			4/16/2018, 4/23/2018	Rezler
	DX745	TIAA_NYU_00061315	TIAA_NYU_00061416	6/30/2011	2011 CREF Semiannual Report, June 30, 2011 Financial Statements**			4/16/2018, 4/23/2018	Rezler
	DX752	TIAA_NYU_00060001	TIAA_NYU_00060085	5/1/2009	CREF Prospectus with 12/18/2009 Supplement No. 1**			4/16/2018	Chittenden
	DX753	TIAA_NYU_00060496	TIAA_NYU_00060589	5/1/2010	CREF Prospectus with 8/23/2010 Supplement No. 1**			4/16/2018	Chittenden, Fischel
	DX754	TIAA_NYU_00061004	TIAA_NYU_00061105	5/1/2011	CREF Prospectus with 8/24/2011 Supplement No. 1**			4/16/2018	Chittenden
	DX755	TIAA_NYU_00061527	TIAA_NYU_00061627	5/1/2012	CREF Prospectus **			4/16/2018	Chittenden
	DX756	TIAA_NYU_00062105	TIAA_NYU_00062200	5/1/2013	CREF Prospectus with 11/11/2013 Supplement No. 1**			4/16/2018	Chittenden
	DX757	TIAA_NYU_00062680	TIAA_NYU_00062773	5/1/2014	CREF Prospectus with 12/1/2014 Supplement No. 1**			4/16/2018	Chittenden
	DX758	TIAA_NYU_00063224	TIAA_NYU_00063326	4/24/2015	CREF Prospectus with 4/14/2016 Supplement No. 3, 12/10/2015 Supplement No. 2, 11/23/2015 Supplement No. 1**			4/16/2018	Chittenden
	DX759	TIAA_NYU_00063858	TIAA_NYU_00063973	5/1/2016	CREF Prospectus**			4/16/2018	Chittenden
	DX761	No Bates number		12/31/2009	CREF Stock Account Fact Sheet**			4/16/2018	Fischel
	DX764	Vanguard-NYU_0027166	Vanguard-NYU_0027170	10/2/2008	10-2-2008 Vanguard Recordkeeping Fee Agreement			4/18/2018	Petti
	DX765	Vanguard-NYU_0001156	Vanguard-NYU_0001160	7/1/2011	7-1-2011 Vanguard Recordkeeping Fee Schedule A			4/18/2018	Petti
	DX768	NYU0110480	NYU0110498	5/6/2009	Vanguard SOM Group Custodial Account Agreement			4/16/2018	Meagher
	DX849	NYU0027004	NYU0027005	11/14/2012	2012 Update on the Establishment of the Revenue Credit Accounts**			4/16/2018	Meagher, Petti, Turki
	DX853	TIAA_NYU_00006561	TIAA_NYU_00006567	12/31/2012	NYU SOM Service Provider Summary*				Turki
	DX854	TIAA_NYU_00006587	TIAA_NYU_00006590	12/31/2012	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX855	TIAA_NYU_00007233	TIAA_NYU_00007237	12/31/2013	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX856	TIAA_NYU_00007288	TIAA_NYU_00007294	12/31/2013	NYU SOM Service Provider Summary*				Turki
	DX857	TIAA_NYU_00008417	TIAA_NYU_00008421	12/31/2014	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX858	TIAA_NYU_00008471	TIAA_NYU_00008477	12/31/2014	NYU SOM Service Provider Summary*				Monaco, Turki
	DX859	TIAA_NYU_00009678	TIAA_NYU_00009682	12/31/2015	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX860	TIAA_NYU_00009728	TIAA_NYU_00009735	12/31/2015	NYU SOM Service Provider Summary*				Turki
	DX861	TIAA_NYU_00011096	TIAA_NYU_00011099	12/31/2016	NYU SOM Investment Fee & Expense Disclosure*				Turki
	DX862	TIAA_NYU_00011145	TIAA_NYU_00011152	12/31/2016	NYU SOM Service Provider Summary*				Turki
	DX874	PLA-NYU-007981	PLA-NYU-007998	12/31/2017	Monaco 2017 Q4 Statement			4/19/2018	Monaco
	DX883			5/19/2018	Meagher Declaration			4/16/2018	Meagher
	DX884			4/5/2018	Surh Declaration			4/23/2018	Surh
	DX885			5/21/2018	Sanchez Declaration			4/17/2018	Sanchez
	DX886			5/22/2018	Petti Declaration			4/18/2018	Petti
	DX887			4/5/2018	Declaration of Patricia Halley			4/19/2018	Halley
	DX888			4/5/2018	Dorph Declaration			4/23/2018	Dorph
	DX889			4/5/2018	Wagner Declaration			4/24/2018	Wagner
	DX889A				Wagner Declaration with paragraphs			4/24/2018	Wagner
	DX889B				Wagner Declaration with paragraphs and footnotes, as included in Judge's binder				Wagner
	DX890			4/5/2018	Turki Declaration			4/26/2018	Turki
	DX890A				Turki Declaration with paragraphs, footnotes, exhibits, and errata, as included in Judge's binder				Turki
	DX891			4/5/2018	Fischel Declaration			4/24/2018	Fischel
	DX891A				Fischel Declaration with paragraphs, footnotes, and exhibits, as included in Judge's binder				Fischel
	DX892			5/23/2018	Chittenden Declaration			4/18/2018	Chittenden
	DX893			4/5/2018	Rezler Declaration			4/23/2018	Rezler

PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
	DX900			12/31/2017	12/31/2017 quarterly performance for TIAA REA			4/25/2018	Fischel
	DX901			4/2/2010	SURS State Universities Retirement System of Illinois Report			4/26/2018	Fischel

PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX0971		CLC0000846	CLC0000849		Advantages of Consolidating an ERISA 403(b) Program into a Single Investment Provider Arrangement	This document is not relevant per FRE 401-402 as it does not pertain to the Plans. Additionally, it contains hearsay (FRE 801-802).	The 2009 RFP resulted in recordkeeping contracts within the class period making it probative to their reasonableness. Defendant has argued its conduct is prudent because of the existence of the 2009 RFP making the underlying bids probative. The parties have stipulated that this is a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding the provider's response to the RFP or to provide the existence of the proposal. See FRE 801	4/16/2018	Meagher, Geist, Rezler
PX0973		CLC0001349	CLC0001393	6/4/2008	Cammack DC Plan Consulting Services Version 6	This document contains irrelevant information per FRE 401-403.	The documents is not cmmulative because it is a different version of PX0013, not an indential document. Defendant has not identified what information within the document is irrelevant to allow Plaintiffs to respond to its objections. However, the document is part of Cammack's bid to become investment advisor to the Plans. NYU argues its retention of Cammack demonstrates its prudence during the class period. Therefore, the process of retaining Cammack, the recommendations that Cammack gave and the extent to which NYU followed Cammack's recommendations are all probative to NYU's prudence. Moreover, Defendant has wavierd any objection to its relevance or opened the door to its relevance by offering DX422, which is another version of this document	4/18/2018	Geist
PX0989		CLC0023709	CLC0023709	5/1/2010	Exhibit B - Fees and Expenses (Revised Effective May 2010)	Lack of Foundation. No witness to this case received the parent e-mail and, therefore, no foundation can be provided.	The rule of completeness does not require the inclusion of all attachments to an email. It only requires attachments "if necessary to explain the admitted portion, to place the admitted portion in context, to avoid misleading the jury, or to ensure fair and impartial understanding of the admitted portion." United States v. Johnson, 507 F.3d 793, 796 (2d Cir. 2007)(quoting United States v. Castro, 813 F.2d 571, 575-76 (2d Cir. 1987)). "The completeness doctrine does not, however, require admission of portions of a statement that are neither explanatory of nor relevant to the admitted passages." Jackson, 180 F.3d at 73 (quoting United States v. Jackson, 180 F.3d 55, 73 (2d Cir. 1999)); United States v. Lange, 834 F.3d 58, 79 (2d Cir. 2016). Defendant has offered no reason why the attachments are necessary to explain the proffered exhibit.		Buetow
PX0995		CLC0023729	CLC0023729	5/28/2010	Email - NYU	Not relevant. FRE 401, 402, and 403.	The document relates to NYU's investment review process and the prudence of actively managed fund both of which are included and probative of Count V. Additionally, the document discusses NYU's reliance on Cammack and its recommendations. The rule of completeness does not require the inclusion of all attachments to an email. It only requires attachments "if necessary to explain the admitted portion, to place the admitted portion in context, to avoid misleading the jury, or to ensure fair and impartial understanding of the admitted portion." United States v. Johnson, 507 F.3d 793, 796 (2d Cir. 2007)(quoting United States v. Castro, 813 F.2d 571, 575-76 (2d Cir. 1987)). "The completeness doctrine does not, however, require admission of portions of a statement that are neither explanatory of nor relevant to the admitted passages." Jackson, 180 F.3d at 73 (quoting United States v. Jackson, 180 F.3d 55, 73 (2d Cir. 1999)); United States v. Lange, 834 F.3d 58, 79 (2d Cir. 2016). Defendant has offered no reason why the attachments are necessary to explain the proffered exhibit.		Geist
PX1052		CLC0025410	CLC0025411	2/1/2011	RE: fidelity	This document contains hearsay. FRE 801-802.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding the princiiples within the document. See FRE 801.	4/16/2018	Meagher

PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX1062		CLC0025527	CLC0025530	2/15/2011	RE: Thankyou/ NYU	E-mail contains hearsay (FRE 801-802); the recipients of the e-mail have not been deposed and are not appearing live at trial, and the email does not fall under the FRE 803 or 804 hearsay exceptions	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying conversation and not for the truth of the matter asserted. See FRE 801.		
PX1517		CLC0058112	CLC0058139	10/5/2016	Attachment to CLC0058099: NYU Request for Proposal for Defined Contribution Recordkeeping Services	Lack of Foundation. No witness to this case received the parent e-mail and, therefore, no foundation can be provided. Because we cannot determine from the e-mail which documents were actually provided to the Retirement Committee, the entire e-mail and its attachments lack foundation. Further, Not Relevant FRE401-403. Parent e-mail suggests that the documents attached to PX1490 were not the same documents sent the Retirement Committee because a majority of the documents involved other plans. Because we cannot determine from the e-mail which documents were actually provided to the Retirement Committee, the entire e-mail and attachments are irrelevant.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Therefore, no foundaiton is required for its admission. The subject of the email is "NYU one-page summary" and the email (PX1490) provides "this is a good starting point in order to provide this back to NYU early next week." The email and attachments are documents used for Cammack and NYU to prepare for the 2017 RFP and are probabitive to Plaintiffs' recordkeeping claims. Plaintiffs included this attachment to rectify Defendant's completeness objection to PX 1490. If Defendant withdraws its completeness objection to PX1490, Plaintiffs will withdraw the exhibit.		Buetow
PX1545		TIAA_NYU_00051127	TIAA_NYU_00051127	12/16/2009	RFP Kits	Hearsay. FRE 801-802	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801.		Geist
PX1564		Vanguard-NYU_0007982	Vanguard_NYU_0007985	4/9/2015	Attachment to Vanguard-NYU_0007974: Vanguard Client Team Summary - NYU	Document contains hearsay 801-802. Discussed in Court 4/25/2018.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801. Additionally, to the extent Defendant alleges that Defendant's or Defendant's Agent's statements are hearsay, they are admissions by a party opponent or agent under Rule 801 and excluded from the definition of hearsay. The rule of completeness does not require the inclusion of all attachments to an email. It only requires attachments "if necessary to explain the admitted portion, to place the admitted portion in context, to avoid misleading the jury, or to ensure fair and impartial understanding of the admitted portion." United States v. Johnson, 507 F.3d 793, 796 (2d Cir. 2007)(quoting United States v. Castro, 813 F.2d 571, 575-76 (2d Cir. 1987)). "The completeness doctrine does not, however, require admission of portions of a statement that are neither explanatory of nor relevant to the admitted passages." Jackson, 180 F.3d at 73 (quoting United States v. Jackson, 180 F.3d 55, 73 (2d Cir. 1999)); United States v. Lange, 834 F.3d 58, 79 (2d Cir. 2016). Defendant has offered no reason why the attachments are necessary to explain the proffered exhibit. Discussed in Court 4/25/2018.		

PX Number	DX Number	Beginning Bates	Ending Bates	Date	Description	Objections	Response	Date Admitted	Witness
PX1569		Vanguard-NYU_0011878	Vanguard-NYU_0011883	10/17/2013	Attachment to Vanguard-NYU_0011876: Meeting Summary	Document contains hearsay. FRE 801-802.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801. Additionally, to the extent Defendant alleges that Defendant's or Defendant's Agent's statements are hearsay, they are admissions by a party opponent or agent under Rule 801 and excluded from the definition of hearsay.  The rule of completeness does not require the inclusion of all attachments to an email. It only requires attachments "if necessary to explain the admitted portion, to place the admitted portion in context, to avoid misleading the jury, or to ensure fair and impartial understanding of the admitted portion." United States v. Johnson, 507 F.3d 793, 796 (2d Cir. 2007)(quoting United States v. Castro, 813 F.2d 571, 575-76 (2d Cir. 1987)). "The completeness doctrine does not, however, require admission of portions of a statement that are neither explanatory of nor relevant to the admitted passages." Jackson, 180 F.3d at 73 (quoting United States v. Jackson, 180 F.3d 55, 73 (2d Cir. 1999)); United States v. Lange, 834 F.3d 58, 79 (2d Cir. 2016). Defendant has offered no reason why the attachments are necessary to explain the proffered exhibit.	4/16/2018	Heming
PX1577		Vanguard-NYU_0021867	Vanguard-NYU_0021873	5/31/2013	Email Bednar to Tocci Re: Revised - NYU lowest shareclass analysis	Document contains Hearsay. FRE 801-802.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801. Additionally, to the extent Defendant alleges that Defendant's or Defendant's Agent's statements are hearsay, they are admissions by a party opponent or agent under Rule 801 and excluded from the definition of hearsay.	4/16/2018	Heming
PX1580		Vanguard-NYU_0022118	Vanguard-NYU_00221120	6/6/2016	Tocci email to Rezler regarding [External] NYU - Fund change decision	Document contains Hearsay. FRE 801-802.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801. Additionally, to the extent Defendant alleges that Defendant's or Defendant's Agent's statements are hearsay, they are admissions by a party opponent or agent under Rule 801 and excluded from the definition of hearsay.	4/16/2018	Heming
PX1586		Vanguard-NYU_0027484	Vanguard-NYU_0027489	10/18/2011	"Email Tocci to Vardaro Re: NYU Commtee Mtg - 11/14"	Document contains Hearsay. FRE 801-802.	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801. Additionally, to the extent Defendant alleges that Defendant's or Defendant's Agent's statements are hearsay, they are admissions by a party opponent or agent under Rule 801 and excluded from the definition of hearsay.	4/16/2018	Heming
PX1609		Vanguard-NYU_0079049	Vanguard-NYU_0079052	4/21/2014	Email from Tocci to Yolanda re NYP Plans - 500 Index and Total Stock Shareclass Change	Document contains hearsay. FRE 801-802	The parties have stipulated that this document is authentic under FRE 901 and a business record under FRE 803(6) in the Stipulation Regarding Authenticity of Documents. Defendant has not identified what portions of the business record constitute hearsay within hearsay. To the extent the document contains hearsay within hearsay it is offered to show knowledge on the part of Defendant or its agents regarding underlying document and not for the truth of the matter asserted. See FRE 801. Additionally, to the extent Defendant alleges that Defendant's or Defendant's Agent's statements are hearsay, they are admissions by a party opponent or agent under Rule 801 and excluded from the definition of hearsay.		Geist
	DX902			4/15/2018	Financial Times Article	Discussed in Court 4/26/2018 at Tr. 1596-1597.	Discussed in Court 4/26/2018 at Tr. 1596-1597.	4/26/2018	Fischel